

Action Aid

INDIA

I M P A C T E V A L U A T I O N

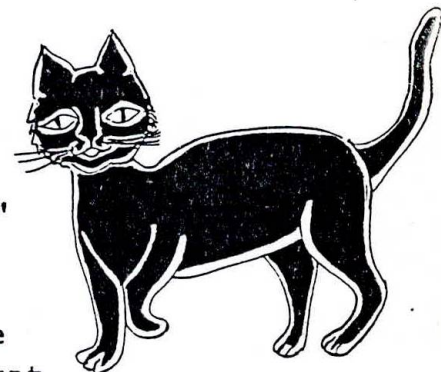
A PRACTICAL APPROACH

COMMUNITY HEALTH C

VANAJA RAMPRASAD

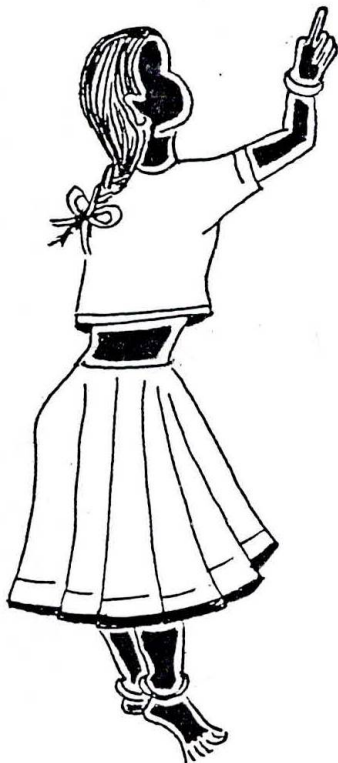
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"Cheshire-Puss", Alice began,
rather timidly
"would you tell me, please,
which way I ought to go from here?"



"That depends a good deal on where
you want to get to" - said the cat.

"I don't much care where"-said Alice
"Then it doesn't matter which way you go"
said the cat.



".... so long as I get somewhere"
Alice added as an explanation

"Oh, you're sure to do that" said
the cat "if you only walk long
enough"

Alice in Wonderland

C O N T E N T S

FOREWORD - RAVI NARAYANAN, EXECUTIVE DIRECTOR

PREFACE - VANAJA RAMPRASAD, DIRECTOR FOR
EVALUATION, MONITORING & RESEARCH

INTRODUCTION

Why the concern for evaluation?

What does the source book contain?

- 1 VALUE PREMISES OF ORGANISATIONS
- 2 UNDERSTANDING EVALUATION
- 3 EVALUATION AS AN INTEGRAL PART OF PLANNING
- 4 INDICATORS
- 5 IMPACT EVALUATION
 - Design
 - Baseline and impact indicators
- 6 QUALITATIVE APPROACHES - CASE STUDY METHOD
- 7 CONCLUSIONS

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FOREWORD

This Source book on Impact Evaluation is an attempt to offer a practical approach to the problems of measuring the effectiveness of our work in the field.

It follows several workshops on Evaluation in which we have involved our field staff and our project holders. As a result of these deliberations and our own field tests we have arrived at certain definite poverty indicators, methods and frequencies of data collection, some standard formats and methods of analysis.

This is by no means the last word on the subject of evaluation but an attempt to introduce standard method of impact evaluation across all our major project initiatives in India. We are sure that there are several approaches to this subject and many different methods that can be tried. We believe that what follows in the Source Book is one such approach.

Ravi Narayanan

PREFACE

As a result of the last one year's exploratory effort through various levels of interaction, this source book was conceptualised. While putting this experience together I have consciously tried to combine some academic rigour with what is relevant to the field and yet made a concerted effort to keep the text very simple, in the interest of the field persons. In this attempt I have been fortunate to have the co-operation of the projects, particularly CODE, SAMUHA, REDS, RDO, PRIDE, to name a few. I gratefully acknowledge their co-operation.

This Source book would have never taken shape but for the encouragement and constant pressure from the Executive Director and I thank him for the same. Needless to say that the field staff of Action Aid have contributed to this process. I particularly wish to thank Sathya, Suresh and Adarsh, who took a keen interest and gave shape to the section on the Monitoring and Evaluation of the literacy programmes. I am grateful to the Accounts and Administrative staff who came to my rescue whenever I needed help. To break the monotony of the text we have used a lot of illustrations and I thank Dr. Uma for her painstaking work which I think has added value to this effort. It has taken a lot of patience and perseverance on the part of Amarnath Bhat and Shoba Mathai of Oriental Software to attempt analysis of field level data. I wish to record my appreciation for the same. I am grateful to Prof. Vinod Vyasulu and Prof. Vijay Padki for their valuable comments on the text.

In taking leave of Action Aid, I wish to add a word of caution to the field staff who will hopefully put this

to use. It would have been ideal to field test the concepts before attempting to put it together. However, due to pressure of time we couldn't postpone bringing this out. Therefore, it is imperative that in transferring this knowledge to various project staff, we have to involve ourselves in rigorous training efforts and discussions on the implications of the processes attempted. Secondly, we have to use caution in interpreting the analysis of the data.

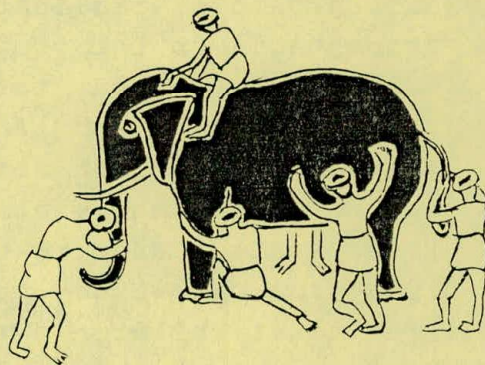
In acknowledging the numerous sources of help, I wish to add that only I am responsible for any shortcomings in this attempt.

Vanaja Ramprasad

INTRODUCTION

Why the Concern about Evaluation?

Evaluation is a term defined in almost a hundred different ways. It brings to memory the poem that narrates the tale of the 6 blind man who described an elephant. Each formed his own idea about what elephants are like. The situation is similar in describing Evaluation. Yet, the whole idea of evaluation revolves around questions like:

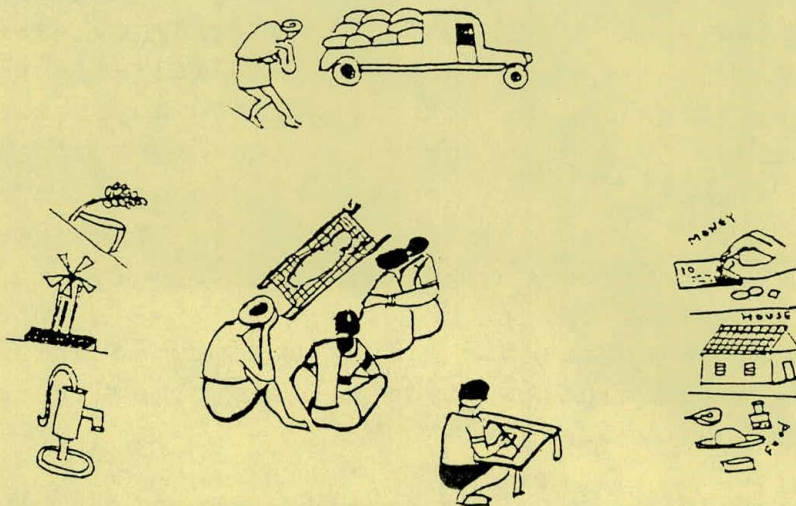


.....	
: Why ? What? Who? :	Evaluation is a term used
: * Focus :	with great reservation
: How? :	because of the punitive
: * Design :	overtones attached to its
: How Accurate ? :	usage in programme asse-
: How Useful ? :	ssment. It is also the
: *Data Collection :	experience of many, bewil-
: What meaning :	dered by the mystificat-
: * Data Analysis :	ion of the concept, to be
: How simple ? :	threatened by the very
: * Reporting :	word.
:.....:	

Therefore, this Source book is an attempt to focus on why we need evaluation, what do we need to evaluate and who will do the evaluation?

After working many years in India with an overriding emphasis on education, Action Aid is moving towards support of multi-sectoral integrated development strategies in an attempt to tackle the major causes of poverty.

Action Aid's realisation that it is simultaneously accountable to the people it serves and to the sponsors makes it important to produce comprehensive evidence that children and families are benefitting. Hence ActionAid's concern about Evaluation. This takes us on to the question: what do we need to Evaluate? Is the impact anticipated "a better" quality of life? In the long run can we say more children can read and write? Are fewer children dying in infancy? Do more families have access to basic needs through improved economic conditions?



The question that arises next is: Who will do the Evaluation?

The answer obviously is - Evaluation is an integral part of planning and hence a natural and legitimate responsibility of the implementors of the programme as an on-going process. If we agree that evaluation is an inbuilt process, what is the design envisaged? This is what the source book is all about.

What does the Source Book contain?

In Chapter 1, there is an attempt to recognise that the value premises of the implementing agencies are important considerations in arriving at the methodology for evaluation, in identifying the indicators for measuring impact and in going beyond mere quantification. Evaluation is a term covering a broad spectrum of activities and gives rise to mystification on the one end and confusion of terms on the other. The different dimensions of Evaluation are dealt with in chapter 2. Chapter 3 discusses the place of Evaluation in the planning process.

Indicators to measure social development and physical quality of life have evolved over time. Chapter 4 attempts to briefly describe the use of indicators and list some of the impact indicators. The main focus of the source book is impact evaluation and chapter 5 deals with this aspect in great detail. The scope of discussion broadly limits itself to Action'Aid's frame of reference to identify indicators and baselines.

Some of the important indicators and their operationalisation are also discussed.

Finally, chapter 6 describes qualitative methods of Evaluation without which process outcomes would be eclipsed.

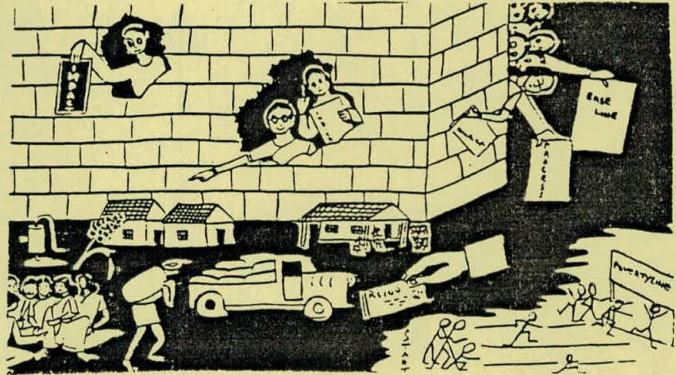
The baseline provided for assessment of literacy and numeracy skills of children follow as a separate section. This is envisaged both as Monitoring and Evaluation tool.

The sample formats for data collection provided in the annexure are spelt out in broad terms and should be adapted to local conditions. As for example, in land ownership and incomes, conditions vary from place to place. Therefore it is necessary to field test and make the required changes.

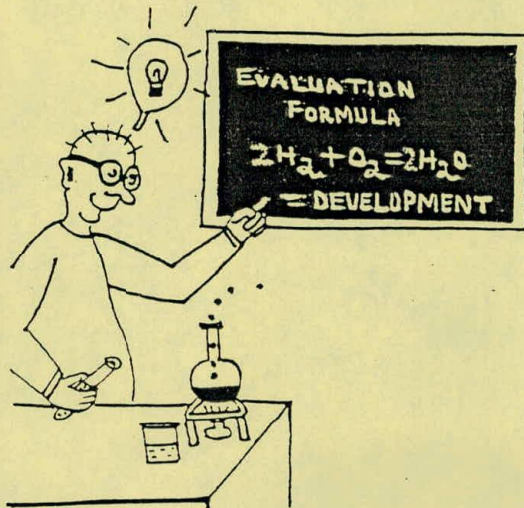
As information of interest some indicators at National level are provided.

In no way does the source book claim to cover the entire range of topics under impact evaluation. It is not the intention to make this a "how to" guide book and that is precisely the reason why we have consciously avoided the word "hand book" or "guide book".

The real reasons for writing this source book is by way of suggestions about how we might proceed sometimes, within the given frame of reference of the agency.



Before proceeding, it must again be emphasised -



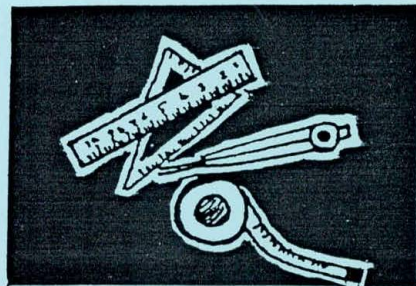
The Source book offers NEITHER ready-made solutions to development,

NOR a magic formula for easy evaluation.

VALUE PREMISES OF NGO'S & PEOPLE'S SELF REVIEW

When programme goals are multiple, diffused and intangible, can conventional methods capture the complexities?

Even before going on to adopting a conventional approach to impact measurement, the fact that different social development programmes cannot be contained within a common design and analysis cannot be ignored. ActionAid does



recognise that social programme goals are multiple, diffused, sometimes intangible and are very much borne

out of the value premises of organisations. Sometimes NGO's intervene on the premise that "people are responsible for their own development".



The situation envisaged by them is that change is not something to be imposed by external change agents but it is the prerogative of those who live in the community.

Lasting development is that process through which people grow in their ability to take control over their own lives and initiate the changes to improve the conditions of life.



Any effective change can take place only if the intervention is community based.

Change is possible through a catalytic role of the change agent.



These are some commonly held views, governing in turn, the approaches to development by many NGO's. The underlying values are as important to the NGO's as the content of developmental activity.

While none of the above values can be disputed, such goals and value premises assume that the purpose of development activity is not only to generate measurable changes according to some socio-economic indicators, but to increase the ability of people to take greater control over their lives.

Some NGO's also consider any raise in economic levels as only a means to the larger objectives of strengthening the position of the class of poor. Therefore, evaluation of such a value-based goal cannot be confused with inventorisation or intermediary changes in some of the socio-economic indicators.

This is precisely the reason why this aspect has been dealt with before introducing the concept of indicators and measurement of these indicators to monitor "intermediary" levels of impact.

Therefore as perspective for NGO performance, evaluation is envisaged to be broader than a few specific indicators. For example measurement of overall organisational effectiveness as compared to measurement of intangible process variables such as morale, participation and leadership. Other parameters such as values, goal conflict, power equations, organisational hierarchy, organisational capabilities vis-a-vis community needs, are some of the interesting aspects of evaluation.

One very important aspect of "development as if people mattered" is the people's own self-review, which subscribes to the principles that people will not only take collective action to control their lives but will collectively reflect upon their ongoing experience of action.



Some broad lines on which such a reflective exercise would be undertaken are:

- to analyse both the social and economic situation before people get organised



- examine the oppressive/exploitative socio-economic processes,

- the objectives in organising people could be varied
- economic ?
- access to resources ?
- fight against oppression ?
- achieve certain rights ?
- understand the successes and failures in the attempt to effect changes ?



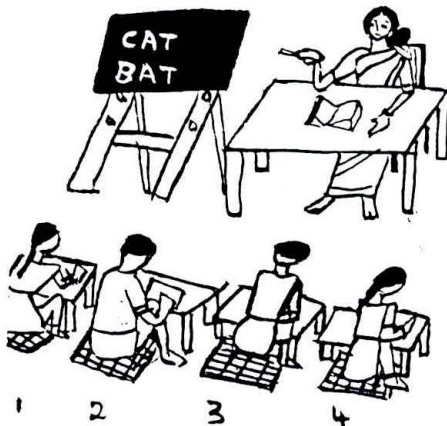
Finally the intervening agency would benefit greatly by documenting the people's self review and reflecting upon their own activities and by using the feedback for formulating future strategies in organising people.



UNDERSTANDING EVALUATION

How do we distinguish between Evaluation as a generic term and Evaluation as one act at the end?

A review of the current Evaluation practices highlights the methods commonly talked about as project appraisal and evaluation. The term project appraisal refers to the analytic procedures visualising the outcomes of a project before the project is undertaken.



On the other hand project evaluation refers to the analysis of outcomes and experiences from a project either during or after the project's life span.

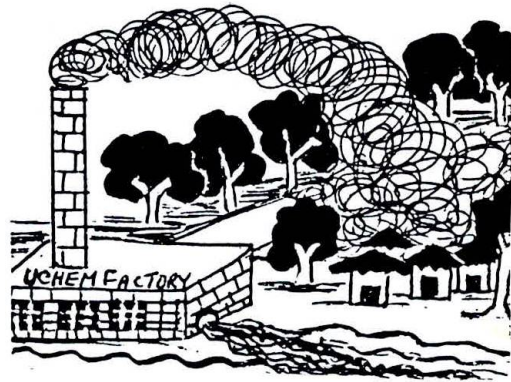
A project appraisal is used to guide the decision whether or not to proceed with an investment proposal. Appraisal procedures provide criteria by which to accept or reject a single project proposal and to decide between alternative investment proposals whereas evaluation is related to accountability and in addition provides valuable feedback for planning and decision making requirements.

What is project Appraisal ?

Project Identification	-----	Formulation
		:
		:
		Appraisal
		:
		:
		Decision to
		fund
		:
		:
		:
		:
Post project	Monitor-	Implemen-
evaluation	--- ing	---- tation

Why Appraisal?

- Implications of time span
- Funds are Limited
- Other Alternatives Exist
- To understand Social Costs and Benefits



Criteria for Appraisal

In the appraisal of any project there are two important aspects that should be examined. One is the span of time within which the project was to yield results. The second is with respect to alternatives available. The two aspects are interlinked. For example, the choice of alternatives could be between three or four types of income generation programmes like - poultry, dairying, sericulture or basket making. The returns from each of these propositions could vary depending on the time taken to yield returns and other factors such as availability of raw material, marketing infrastructure and the skills required.

The basic question about when to evaluate and what to evaluate put the understanding of evaluation in its proper perspective.

The time span within which evaluation is undertaken determines the type of outcome whether it is immediate, first order, 2nd order, 3rd order or spin-off effects. The case of a small scale irrigation scheme will illustrate this point. Tube wells and other facilities necessary for their operation might be provided as inputs. The immediate outcome expected may be in terms of a larger area under irrigation.



The first order effect is observed as in, increase in the productivity of the land.

The second order effect would be in the increased incomes of the farmers as a result of crop output. As a third order effect it would be hoped that beneficiaries enjoy higher levels of consumption. There would also be spin-off benefits at the various levels.

The same impact could be measured at various system levels such as the individual child, the family, the community and the block level.



CHILDREN



FAMILY

The integration of the two "dimensions" above gives rise to different methods of measuring the impact of programmes.



COMMUNITY



Choice of unit for Evaluation could be:

a an individual



b a household



c co-operative or operational group

d village or social group



e a plot of land

f a farm



g a mapped area

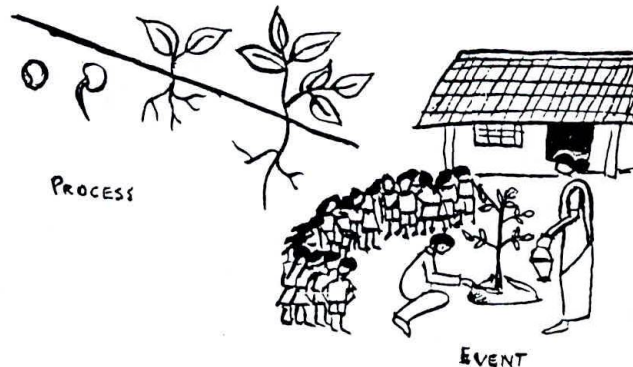
h a place where group activity occurs



Process Outcome Vs. Product Outcome

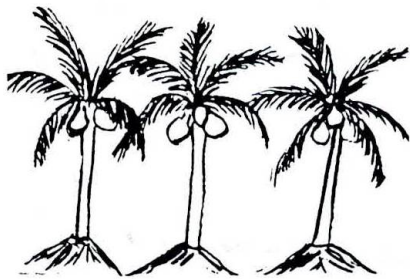
"Development is a process, not an event". Yet another dimension of evaluation may be spelt out in terms of the nature of the outcome, ie. Process Outcome (by qualitative assessment) or product outcome (by quantitative assessment).

If development is a process and not an encapsulated event that occurs sporadically, evaluations should aim at elucidating the dynamics of this process. Process evaluations focus on the how and why of product outcomes.



Knowing "why" a particular impact was observed or not observed is more important than just measuring the impact.

Evaluations done during the life span of a programme are termed as ongoing evaluation or



formative evaluation while evaluations done

after the life span of a programme are called ex post or summative.



EVALUATION AS AN INTEGRAL PART OF PLANNING

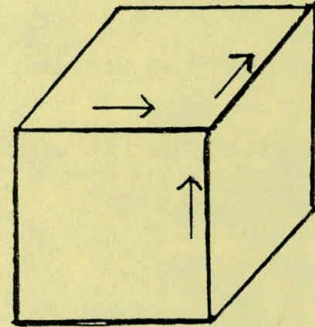
How does Evaluation become a part of the planning process ?

Three dimensions of EVALUATION

PROGRAMME

PROCESS

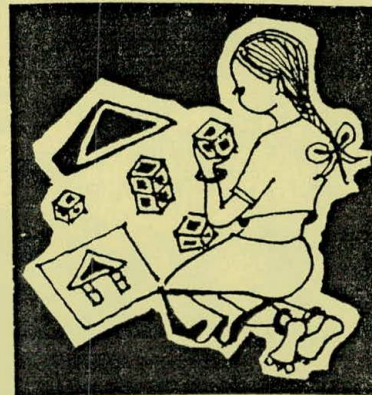
IMPACT



The purpose of evaluation is twofold.

- 1 Evaluation may be required to indicate whether or not objectives set by the project are being achieved, and
- 2 For purposes relating to planning & decision making, feedback from the evaluation may be utilised to modify the project.

Either way, evaluation becomes an important component of planning. If a proper evaluation system is to be installed, it goes back to the formulation of objectives & identifying the indicators.



Monitoring refers to the continuous gathering of information of project activities. Monitoring is a sub-set of evaluation. The aim of monitoring is to check whether everything proceeds according to original plan or are they deviating?

To put the concept in a nutshell:

Project Monitoring & Evaluation

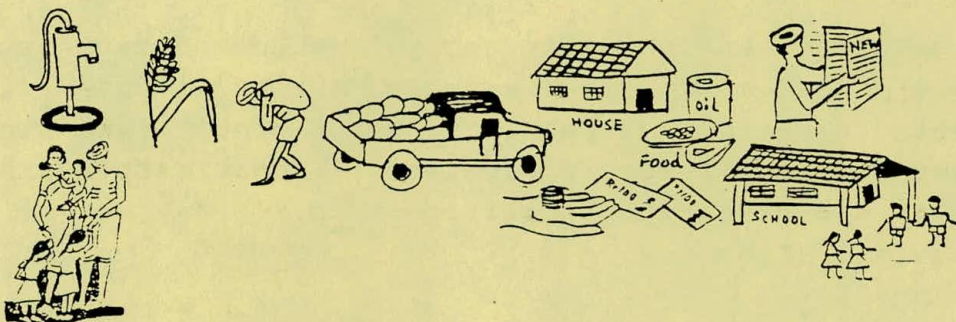
Planning	Formulate project objectives-----	
	Identify indicators	:
	Plan management information	:
	systems	:
		:
		:
Monitoring	Monitoring data flow	:
	Information processing	:
		:
		:
Evaluation	Review for internal evaluation	:
		:
		:
Case Studies }		:
Special Surveys }	Impact Evaluation-----	:
Research Studies }		:
		:
	----- Project Assessment	

At the monitoring stage, a proper information system is crucial to monitor the data flow. The data processed at this level forms the basis for reviewing the internal evaluation. This exercise becomes part of an ongoing programme while an evaluation examines the impact of the programme in a more inclusive form in multi-dimensional terms.

The impact Evaluation may, in addition, be tied up with certain special surveys which complete the loop in the feedback to the planning process. The findings of the monitoring system ideally lend themselves to answer many questions raised within the programme.

|| Evaluation is an inclusive concept, accommodating both effect and impact. For example, use of inputs will result in certain output in terms of crop, live stock production, skill acquisition, larger school attendance, greater use of health facilities, etc.

The 'effect' of these outputs will be felt on the change in income, expenditure level, patterns of health and other social, health consequences. These effects in turn create an impact on the social and economic life in the community. Effects and impact tend to reinforce each other. The difference is largely in terms of scale and system-wide generalisation. Effects appear sooner, apply to the direct beneficiaries and relate to specific aspects of the activity. Impact measures the final total result, taking into account direct and indirect effects that produce changes in the community as a whole.

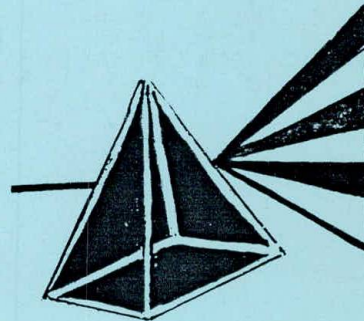


INDICATORS

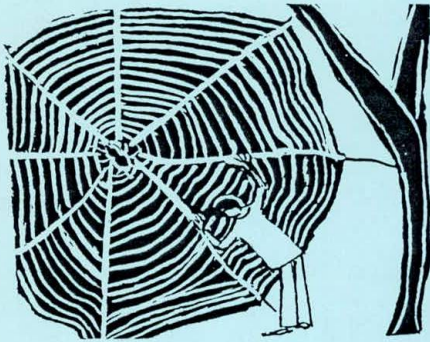
How useful are indicators ? Don't we find ourselves trapped in the fallacy of judgement on a flux of events by just observing one phenomenon ?

"Every set of phenomena can be interpreted in various ways, in fact in infinitely many ways. It is our privilege to choose among the possible interpretations the ones that appear to us most satisfactory, whatever may be the reason for our choice" FR Moulton*.

The social phenomenon that one attempts to understand is like a prism that disseminates various shades from a common rubric. The attempt to comprehend this social process and quantify the concepts provides only a partial picture and as a result is inadequate as a tool of analysis and as an input for policy making.



* Kundu, Amitabh: Measurement of Urban Processes
Popular Prakashan



Therefore, we find ourselves trapped in the fallacy of judgements on a flux of events and a web of several inter-related activities by just observing one aspect of the phenomenon.

Several criticisms have also been levelled against the relevance and use of social indicators in the present limited understanding of "social theories".

The issues related to the limitations of using indicators are still unresolved. Yet the use of these indicators is considered worthwhile, since the indicators do capture the occurrence of a given phenomenon, as long as it is interpreted in the context in which it occurs.

Some of the commonly used Socio-Economic Indicators are:

- demographic factors, like rate of population increase, internal migration;
- economic factors, like gross national product, gross domestic product, income distribution;
- employment factors, like level of employment/unemployment;
- educational factors, like adult literacy rate, number of pupils per teacher;
- basic needs factors, like housing, food and water availability;
- health factors, like life expectancy, under five mortality rate and infant mortality

In the various attempts to measure development, over a hundred indicators have been used. Morris and Morris, (Morris David Morris and Michelle B. McAlpin Measuring the Condition of India's Poor, The Physical Quality of Life Index) have advocated the use of three indicators - infant mortality, life expectancy and basic literacy and formed the composite index called PQLI - Physical Quality of Life Index. PQLI was designed primarily to measure the performance of the world's poor countries in meeting the most basic needs of people.

Indicators provide a yardstick whereby progress can be compared. Some indicators are used for monitoring programmes, like, how far targets are being achieved. There are other indicators that describe the impact of programmes. It is necessary not to confuse the indicators for they describe different aspects of the programmes. When programme objectives are not clear, it is difficult to have clear indicators. One of the more important uses of health indicators is to monitor the progress of overall socio-economic development of a country. The level of health and nutrition itself is a direct indicator of the quality of life and an indicator of overall socio-economic development. Increasingly, development planners and economists are looking for social indicators such as health status measurement.



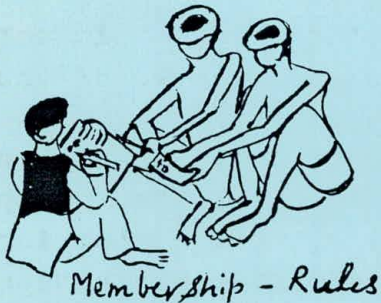
Indicators can broadly be classified as: (Marie Theresa Feurestin "Partners in Evaluation" McMillan Publishers)

- indicator of accessibility
- indicator of utility
- indicator of coverage
- indicator of availability
- indicator of effort
- indicator of efficiency
- indicator of quality etc. and
- indicator of impact

One area for which it is extremely difficult to identify indicators is that of Social Development/Community Organisation. This exercise was part of a workshop with project partners.

The outcome is shared as an example of indicators for observing activities of community organisation.

Indicator of availability
(No. of Sanghams, types)



Indicator of accessibility
(Membership - composition,
periodicity of meeting,
rules of the Sangham)

Indicator of utilisation
(No. of members attending
the meetings, using the
Sangha facilities).



Indicator of effort
(Guidance, seminars,
training facilities
offered)



WATER SUPPLY



Indicator of impact
(Awareness created of the
socio-political
situation, issues taken
up for action, the group
dynamics, the changing
patterns of interaction
within the group, the
ability of the group to
function independently,
participation in group
decision making, power
equations within the
group are some of the
impacts that can be
measured using more than
one method.)



IMPACT EVALUATION

Impact Evaluation on a micro-level. Does it not have limitations because of the distinction we have to make between changes that occur due to interventions vis-a-vis changes that occur due to other external factors ?

Betterment of the material situation of the rural poor and to assess whether, how & to what extent their intervention has improved the economic conditions of the community in which they are working is an important concern for the NGO's. While doing this, it is important to make a distinction between changes which occur as a result of their intervention and changes which occur because of other factors like industrialisation, breaking up of the feudal system, land reforms, other governmental programmes, etc.

Some of the areas for Evaluation are:

.....
 : - employment and wages :
 : - access to resources :
 : and uses :
 : - access to forest and :
 : fodder :
 : - access to credit :
 : - terms of trade and :
 : relationship with :
 : markets :
 : - analysis of consump- :
 : tion patterns :
 : - food intake :
 : - health conditions :
 : - clothing and shelter :
 :.....



The 1st step towards a systematic approach to Evaluation requires a baseline data. A baseline survey is done prior to commencement of a project. The most common method of formal rural research is the questionnaire survey. Conventional questionnaire surveys have many drawbacks if the aim is to gain insight into the lives and conditions of the rural poor, for the "pathology of rural surveys follows common paths".

The temptation to increase the scope for more data lingers. The outcome is excessive data to collect and therefore less likelihood that the data will be well collected or that they will be checked, coded or analysed. Hence any large scale survey designed can give rise to misleading results. It is important to underscore the fact that questionnaire surveys are not to be abandoned, but to realise that the limitations of the survey results sometimes outweigh benefits. Yet, useful surveys have been interdisciplinary in nature, well thought out and done with utmost care. For example interrelationships of disease, nutrition, social conditions and poverty is an interdisciplinary approach, requiring high quality, well planned longitudinal surveys. It is beyond the scope of this source book to go into details of survey techniques or sampling methodologies. Therefore the source book very briefly touches upon these aspects within its limited frame of reference.










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Baseline:

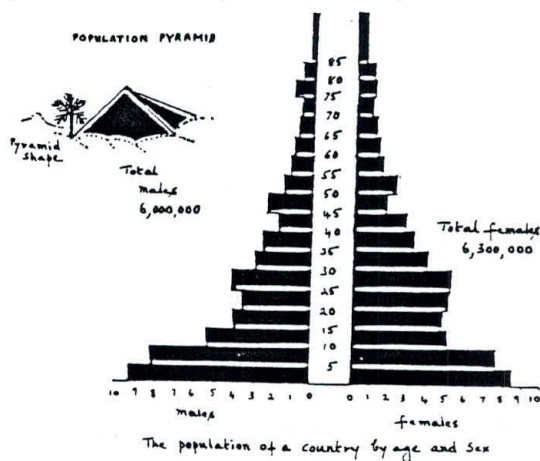
A very simple baseline is suggested to give the profile of the population with bare minimum details of the age, sex profiles of the families, their occupations, castes, land holding patterns, incomes from various sources, migration patterns and educational status. (Annexure Format No: 1).

Basically in drawing the profile of the population, the underlying objective is to highlight the poverty status and the caste-class compositions, so that it provides a database for an analytical approach to planning and comparisons at a later stage.

 AGE	 SEX	 OCCUPATION	 LAND HOLDING	 MIGRATION	 EDUCATION	 INCOME

The kind of analysis suggested are of 4 types:

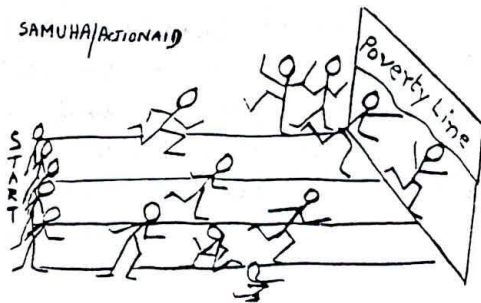
- frequency distributions of populations by diverse variables such as age, sex, caste, incomes, literacy, nutritional status, land ownership, etc.



- secondly, to relate the different variables, eg. the nutritional status of children of families with different land ownership and draw inferences.



- thirdly, to arrive at poverty scores based on as many indicators as possible for each household. A simple method is to score each variable on a + or - scale and to arrive at a composite score. On the composite scoring, families emerge as very poor, poor, less poor and not so poor. How does this scoring help us? Families are identified as poor not by just one indicator such as income alone.

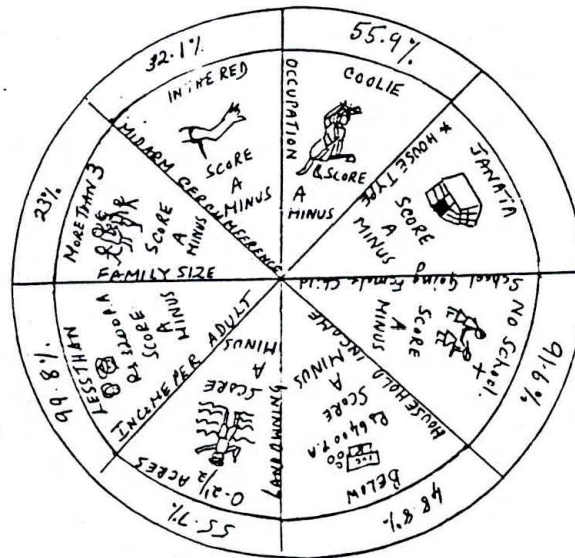


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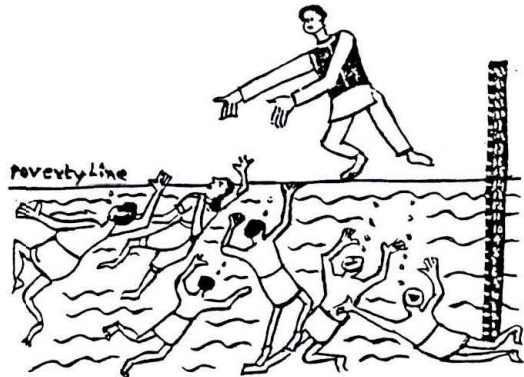
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Eg. of a Gutman Scale, where each of the 8 indicators was assigned either as + or -



SAMUHA/ACTIONAID

The other indicators that describe poverty like, poor housing, malnutrition, occupations that need no skill or resources, are also considered and by using an appropriate cut off, the fact that a family is poor by many factors is reinforced. These are the families that projects precisely intend to work with. Therefore identifying such families becomes important, so that benefits do not get syphoned by the better off.



The concept of poverty line as described by the government gives a head count of the millions of people, which really does not describe the inequality in incomes, and the gap between the person who is earning the highest and the lowest within the group. The analysis of the income level of the families gives insight into the concentration of poverty levels. This will be a powerful indicator to observe over a period, the movement of the target group of people and to observe the changes in income levels within the conditions of normal rainfall or otherwise. Sen's* Poverty measure describes the weighted measure of the shortfalls of all people who are judged to be poor. Poverty measure is the function of the number of poor, aggregate poverty gap and the inequality of income distribution, below the poverty line.

* Sen, Amartya; Poverty & Famines - An Essay on Entitlement & Deprivation, Clarendon Press, Oxford

Impact Indicators:

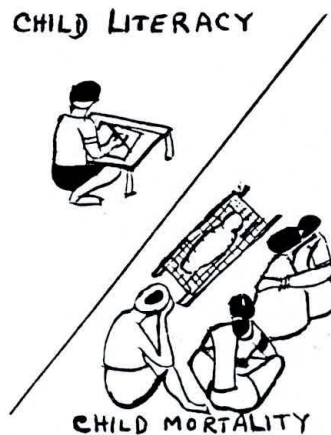
What do these specific indicators portray?
What are the socio-political implications
of using these indicators?

Specific indicators suggested for purposes of monitoring the impact are:



- per consumption unit of
calories from non-cereal
food

- child mortality and
- child literacy



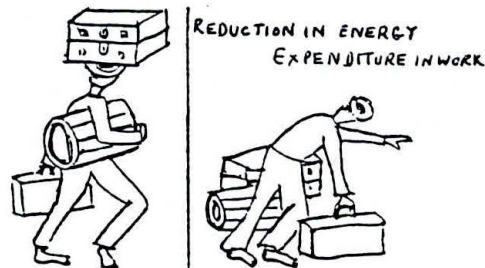
Food Consumption as a Basic Need:

Why measurement of calories from
non-cereal food?

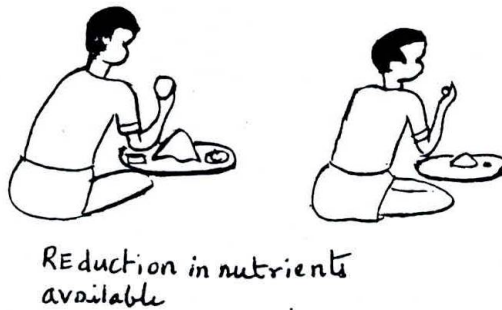
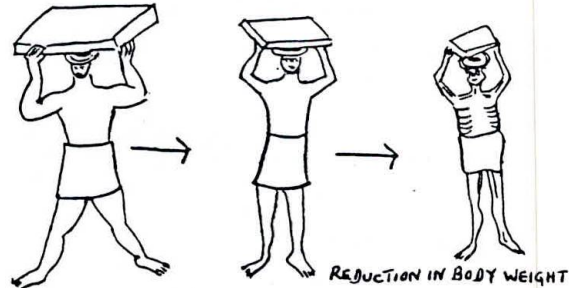
It is common knowledge that data on incomes of non-salaried classes, especially the rural folk, is extremely difficult to compute. One of the several approaches suggested in defining poverty levels is the income approach where poverty is measured in terms of the most basic need, ie. food described in terms of minimum required caloric consumption.

The physiological implications of a continuous deprivation in energy intake can result in:

- reduction in energy expenditure in work



- a reduction in body weight leading to a new equilibrium which has its own costs



- a reduction in nutrients available

These costs in energy intake are likely to be even greater for the poor, since they are engaged in higher energy activity in a poor sanitary environment with greater morbidity, mortality & related nutrient losses.

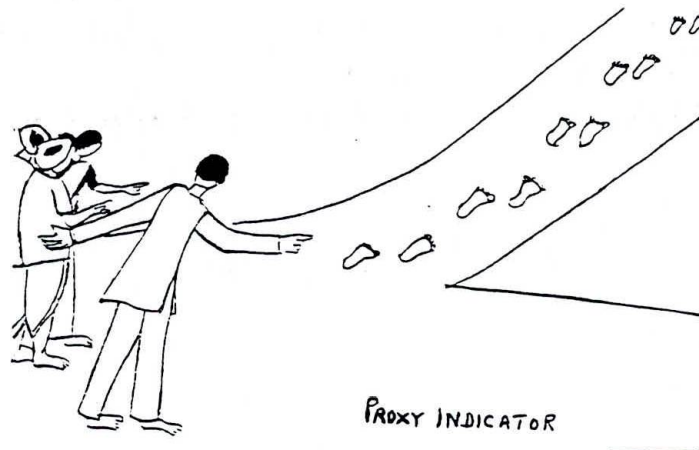
It has been argued time and again that the importance of caloric intake notwithstanding, there are severe limitations in the use of this as a single indicator. Some variations of this direct measurement of per consumption unit of caloric intake are per capita expenditure on food, percentage of calories derived from the non-cereal food and average daily consumption of milk per household, etc.



PER CAPITA EXPENDITURE ON FOOD

Nation-wide surveys have established that 80% or more of the calories of the poor come from the cereals consumed. This is rather acceptable from the knowledge of the kinds of foods consumed by the rural people. Secondly, this is reinforced by the fact that state governments are distributing grains either as food for work or at subsidised rates. Under such circumstances considering the absolute caloric consumption can be misleading. If consumption of other foods like oil, milk or pulses is reported, it is reflective of the better consumption levels..

Also at the request of FAO, the NSSO (National Sample Survey Organisation) took up in 1976, a tabulation programme for obtaining the caloric values of the energy yielding components of food consumed in the country. It was observed that in rural households 82.4% of the total per day per consumption unit of calories was derived from starchy foods. Pulses, nuts, seeds contributed 7.3% and the rest from other foods. The analysis also indicated that the percentage of calories contributed from the starchy foods differed with the expenditure class; the lowest class being as high as 89.4% and this gradually dropped down to 66.4% in the higher expenditure class. Therefore the percent of calories consumed by a family from non-cereal food is considered as a proxy for incomes.



Sampling

Various aspects such as seasonal implications and validity of collecting the consumption data only for a day have been considered in the design. It is suggested that a sample of 10% of the families from each of the villages using random numbers are chosen and data on consumption of all foods over a period of 7 days in two seasons is collected. (Format C Annexure).

The data should provide information on -

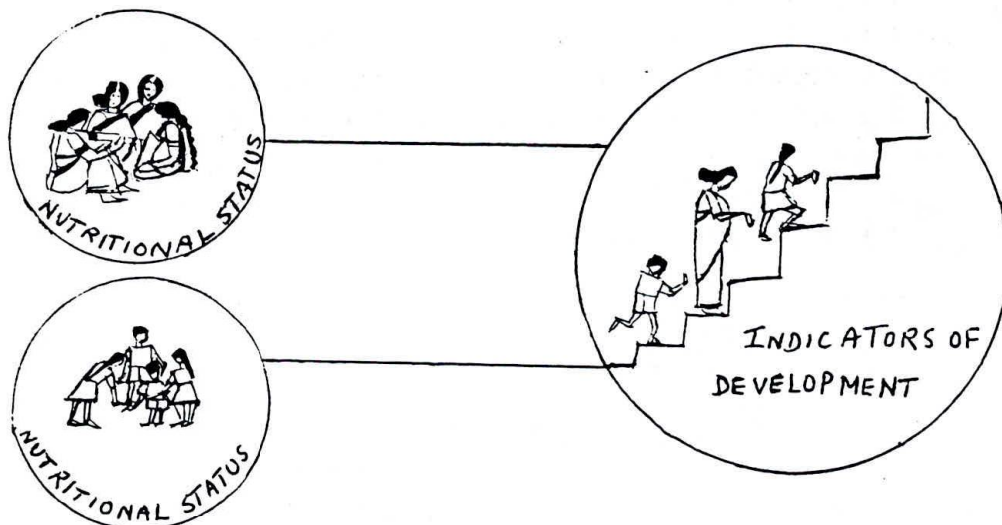
- per consumption caloric intake for the family
- percent of calories from non-cereal foods
- quantity of proteins consumed
- quantity of oil consumed and similar relevant data

(Random Numbers Annexure)



Nutrition Levels as Socio-economic Indicators

We have come a long way in understanding that malnutrition and illhealth are correlates of poverty. The problems of poverty will be overcome as and when problems of malnutrition, deprivation and disease are overcome. Therefore, indicators of nutritional status of especially the vulnerable groups such as children and women are considered as indicators of development.



Composite Index Using Height and Weight of Children - Under Five

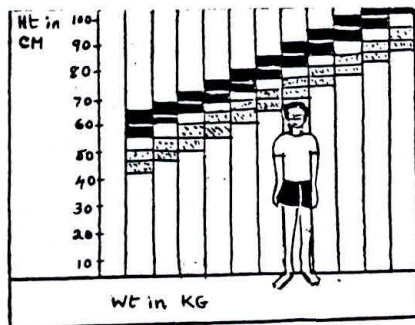
There is little agreement as to which anthropometric measure to use. The most common combinations of measure are :

- weight for age



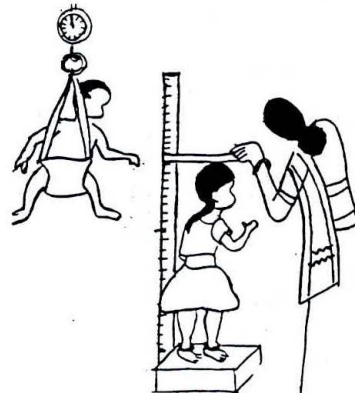
- height for age

- weight for height



The New Thinness chart

■ Red ▨ Normal □ yellow



- arm circumference



Each measures different aspect of growth and therefore offers a different picture of the child's nutritional status. With all these indicators to choose from, one must understand that each does not provide the same diagnosis. Each measures different aspects of growth and therefore offers a different picture of the child's nutritional status. Though there is no perfect indicator to assess the programme impact, evaluating children with current acute malnutrition as indicated by weight for height seems a reasonable approach. In order that the stunted children are not missed, height for age is combined with weight for height and formed into an index.

Taking the 50th percentile of the standards as reference points, the following composite index is suggested:

		w e i g h t	
		>80%	<80%
<u>Height</u>	>90%	Normal	Wasted
	<90%	Stunted	Stunted and wasted

Implications of wasting, stunting or wasting and stunting in children are far reaching in indicating the developmental status of the communities. Wasting occurs under poor conditions of hygiene and environment and poor nutritional intakes. On the other hand stunting is a result of long term deprivation of food to which the body adapts and the worst is reflected when there is a combination of stunting and wasting. Recent studies from India and other developing countries have shown that well to-do children brought up in good environment have heights and weights similar to any developed country.

Mortality Levels

The major contributory factor to high mortality levels, especially infant mortality, is poverty. Infant mortality rates can be computed from large populations and data at the district level is available only from the civil registration system.

UFMR

Under-five mortality has been suggested as an indicator in the place of infant mortality rate due to the inherent problems in arriving at reliable estimates of IMR for small populations. Assuming there are no errors in reporting of births and deaths in order to be able to say that out of 100 times, at least 95 times the estimate given is reliable, the different sample size to be covered for the survey, the number of births and infant deaths observed to compute IMR and the standard error of the estimation have been arrived at. Refer Table 1:

TABLE 1. Infant Mortality Rate: Sample Size and Confidence Interval

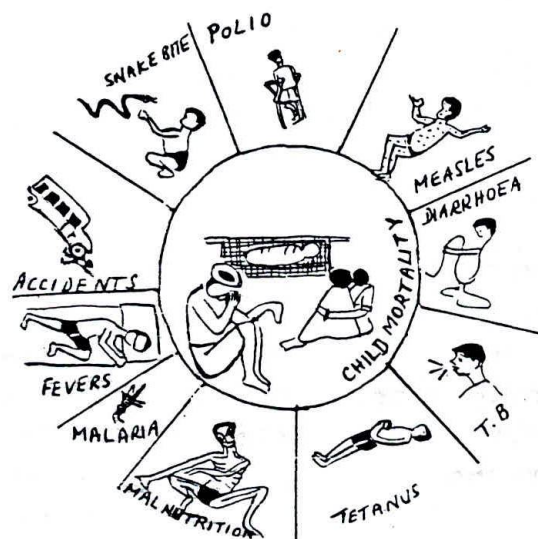
Sample size: number of persons	No. of births observed	No. of infant deaths observed	95% confidence in- terval for the in- fant mortality rate
1000	40	4	4 - 196
5000	200	20	58 - 142
10000	400	40	70 - 130
50000	2000	200	87 - 113
100000	4000	400	91 - 109
250000	10000	1000	94 - 106
500000	20000	2000	96 - 104

Source : WHO - Development of Indicators for Monitoring Progress Towards Health for All by the Year 2000; Geneva 1981

Under five mortality rate is calculated by

$$\frac{\text{No. of deaths under 5 yrs. of age in the given year}}{\text{Total no. of children under 5 years of age at the middle of the year}} \times 1000$$

Total no. of children under 5 years of age at the middle of the year



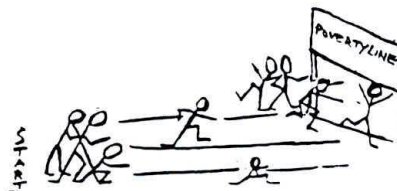
The source of data for estimation of under-five mortality is through collection of data on pregnancy histories which done very meticulously should throw light on various aspects such as pregnancy losses, still births, neo-natal deaths, infant deaths & child deaths. The information elicited also gives adequate support to understanding causes of mortality. Under-five mortality information is not only an indicator but also provides the platform for planning specific programmes. For eg. high levels of maternal mortality, pregnancy losses, indicate poor health status of women. High neo-natal death could indicate poor delivery practices. Causes of death like malnutrition, diarrhoea, give indicators of programme aspects.

Sampling

To select a sample of villages from the total number of villages, covered by the programme, the villages are arranged in an ascending order according to the size of the population. A sample size of 10 or 20% is decided depending on the number of villages the programme covers, by choosing every 5th or 6th village. After the village is selected, every women ever married in the age group of 15 - 40 is covered for the pregnancy history. The updating of births and deaths is to be done every year which gives an account of the most recent occurrence of the vital events.



Such data have to be interpreted taking into consideration the socio-political implications. To explain this further, there is a widespread erroneous impression that just because infant mortality rates and child mortality rate in Kerala are much lower than in other parts of the country including Punjab, the nutritional status or consumption of food of people in Kerala is also vastly superior. On the other hand, poverty is widespread in Kerala as in UP and other States (except in Punjab) and the actual diets of the poor segments of population in all these States are nearly similar and deficient. At the same time, it is misleading to argue that just because mortality rates in Kerala are low despite poverty and poor diets, those diets are in fact "adequate" and that the rest of the country need only to combat infection and ill health to reduce mortality. The lesson drawn indicates that there is no state in India which can boast of the degree of economic development achieved by Punjab plus the degree of social development achieved by Kerala.



QUALITATIVE APPROACHES

Case Study

How good is a case study method in Evaluations?

How can you generalise from a single case?

A case study describes in detail the analysis of a single event, situation, person, group or programme within a given context. The limitation of direct methods of data collection have been highlighted in the earlier sections. Sometimes the findings of a large scale survey do not throw enough light on the qualitative details. A case study method of inquiry lends detailed supportive evidence to the findings and answers many of the questions raised. The main task of designing a monitoring and evaluation system would be to combine different methods that will use resources most effectively. The insights from using a repertoire of methods will identify key relationships and constraints and will generate hypothesis.

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The hesitation to use case study methods stem from the lack of rigour and practice. Secondly the question frequently posed is "HOW CAN YOU GENERALISE FROM A SINGLE CASE?" A third element is that they are too long and result in massive unreadable records.

v	----	from the particular to the general	Theory
a	a :		
l	:		
u	----	from the general to the particular	Illustra-
e	c :		tion
	a :		
o	s ----	from fragmented to holistic	System
f	e		patterns

The 3rd is what make it especially valuable for the development angle.

A basic list of commonly required skills in conducting a case study are:

- should be able to ask the right questions



- be a good listener



- be adaptive and flexible

Apart from these skills, the person must have a firm grasp of the issues being studied and should be able to proceed without any pre-conceived notions.

The data collected for case study should be from multiple sources. This should be followed by organising the data to form a good data base. The data flow should maintain a chain of evidence from the initial research question to the ultimate conclusion.

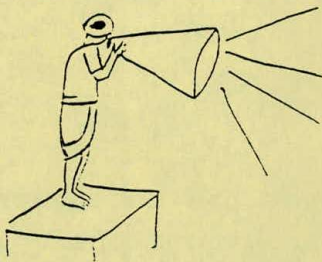


CONCLUSION

In the kind of analysis and interpretations suggested, there is the danger of treating the small unit as an isolate situation. The smaller units that the projects work with are intrinsically linked up with the larger systems of the society. Village level studies provide a firm grasp of the empirical situation. But to generalise on the basis of the study also has inherent limitations. At the same time, in macro-studies one has to reckon with the problem of a multi-sectoral, socio-economic formations which may lead to over-generalisation and over-simplification. Therefore, in studying the phenomenon of poverty, it is suggested that caution is exercised to avoid claims of extremes drawn from the methodology.

To conclude it is emphasised here that the intention of this approach to impact evaluation is not one of a punitive measure and is delinked from funding policies.

In interpretation of the kind of analysis and indicators suggested, the NGO's have to do so with a clear understanding of the socio-political reality of the area. The underlying assumption in the design of impact evaluation is that the programme inputs would be intense enough to create the anticipated impact. It is also possible that there could be a wide range of reasons why the anticipated impact did not take place. The interest is to know why or what factors contributed and the outcome of such an exercise to have no negative implications on the funding of the programmes.



A N N E X U R E

INSTRUCTIONS

The formats include :

- Baseline
- Pregnancy histories
- Non-~~cereal~~ calorie consumption

* The formats are labelled as A, A1, B, B1 and C. A & B are to be retained and the project level and extracts from A and B to be transferred on to A1 and B1 & sent to this Office for processing. Form C is to be sent as it is.

* The baseline format is a sample design. Any adaptations, changes required must be made at the project before using it

<*****>

FURTHER READING

- Quinn Panton, Michael,
"Qualitative Evaluation Methods", Sage Publications
7th Edition
- David Morris and Michelle B. Mc Alpin,
"Measuring the Condition of India's Poor", (The
PQLI) - Promila Publishers
- Yin, Robert K,
"Case Study Research" - Design & Methods, Sage
Publications
- Fowler, Floyd J Jr.,
"Survey Research Methods", Sage Publications

- Struening, Elmer L & Merilynn B Brewer,
"Hand book on Evaluation Research, Sage Publications
- Fernandes Walter & Rajesh Tandon,
"Participatory Research and Evaluation", ISI Publications
- UNIDO,
"Guidelines for Project Evaluation", Oxford and IBH Publications

~~~~~\*~~~~~

## A

Religion : \_\_\_\_\_  
Caste : \_\_\_\_\_

[illegible]

```
* Education : record as upto the Standard completed
Age         : to the nearest month
M           : Main
S           : Sub
D           : record major Disability (if any)
Hlth        : record major Health problems (if any)
MAC         : Mid-arm Circumference (R = Red, G = Green, Y = Yellow)
MS          : Marital Status
```



BASELINE FORMAT (detailed) A (contd)

Land Holding : (Y/N) \_\_\_\_\_ Leased in : \_\_\_\_\_ Water Source : \_\_\_\_\_  
 (If Yes) Waste : \_\_\_\_\_ " out : \_\_\_\_\_ Power : \_\_\_\_\_  
 Dry : \_\_\_\_\_  
 Wet : \_\_\_\_\_ Operation Holding : \_\_\_\_\_

|           |       |         |                   |             |       |              |
|-----------|-------|---------|-------------------|-------------|-------|--------------|
| Tree Type | No    | Ann Inc | Assets:           | Sheep       | :     | Cycle:       |
| _____     | _____ | _____   | Plough Bullocks : | Milch Cows: | _____ | Radio: _____ |
| _____     | _____ | _____   | Bullock Cart :    | Goats :     | _____ | Steel _____  |
| _____     | _____ | _____   | Tractor/Implemnt: | Pigs :      | _____ | Almir: _____ |
|           |       |         | Diesel Pump :     | Poultry :   | _____ | Other: _____ |

Homeless (Y/N) : \_\_\_\_\_ House roof : \_\_\_\_\_ Electrified (Y/N): \_\_\_\_\_  
 (Stone slab/Traditional/  
 Thatch/Others) House well (Y/N): \_\_\_\_\_

Migration during Lean season (Y/N) : \_\_\_\_\_  
 (If Yes) Where : \_\_\_\_\_ What kind of work : \_\_\_\_\_

Cereal Consumption (Previous Day):

| <u>Food</u> | <u>Qty</u>   | <u>Value</u> | <u>Food</u> | <u>Qty</u>   | <u>Value</u> | <u>Food</u> | <u>Qty</u>   | <u>Value</u> |
|-------------|--------------|--------------|-------------|--------------|--------------|-------------|--------------|--------------|
|             | <u>(Kgs)</u> | <u>(Rs)</u>  |             | <u>(Kgs)</u> | <u>(Rs)</u>  |             | <u>(Kgs)</u> | <u>(Rs)</u>  |
| Rice        | _____        | _____        | Wheat       | _____        | _____        | Jowar       | _____        | _____        |
| Rice Prod:  | _____        | _____        | Atta        | _____        | _____        | Bajra       | _____        | _____        |
| _____       | _____        | _____        | Wheat Prod: | _____        | _____        | Maize       | _____        | _____        |
| _____       | _____        | _____        | _____       | _____        | _____        | Small       | _____        | _____        |
| _____       | _____        | _____        | _____       | _____        | _____        | Milletts    | _____        | _____        |
| _____       | _____        | _____        | _____       | _____        | _____        | Ragi        | _____        | _____        |
| _____       | _____        | _____        | _____       | _____        | _____        | Total:      | _____        | _____        |
| _____       | _____        | _____        |             |              |              |             |              |              |



$A_1$ 

Village No : \_\_\_\_\_ Name : \_\_\_\_\_

[illegible]

$A_1$ 

Note: Operational Land = (Own Land - Leased out) + Leased in



$A_1$ [illegible]



PREGNANCY HISTORY FORMAT

B

HH No : \_\_\_\_\_

Area/Village: \_\_\_\_\_

| Name | Age |      | Sex | Rel to | Educn | Mar  | Occupn | Perm.     | Preg  |
|------|-----|------|-----|--------|-------|------|--------|-----------|-------|
|      | Yrs | Mths |     | HOH    |       | Stat |        | Residence | (Y/N) |
|      |     |      |     |        |       |      |        |           |       |
|      |     |      |     |        |       |      |        |           |       |
|      |     |      |     |        |       |      |        |           |       |

Family Type : \_\_\_\_\_

(1 = Nuclear/2 = Joint/3 = Extended)

Religion : \_\_\_\_\_

(H = Hindu/M = Muslim/C = Christian)

Total Household Income : \_\_\_\_\_

Per capita Income : \_\_\_\_\_

PREGNANCY HISTORY FORMAT (contd)

Probe for new additions and deletions to the family

Second Visit

Date : \_\_\_\_\_

HH No. \_\_\_\_\_

| Name | Age |      | Sex | Rel to | Educn | Marital | Occupation |
|------|-----|------|-----|--------|-------|---------|------------|
|      | Yr  | Mths |     | HOH    |       | Status  |            |
|      |     |      |     |        |       |         |            |
|      |     |      |     |        |       |         |            |
|      |     |      |     |        |       |         |            |

Third Visit

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

(Note changes like additional women through nmarrriage, deaths & new borns)

BIRTHS: (for each Birth - Date; Sex; Place of Birth; Other relevant information  
DEATHS: (for each Death - Date; Sex; Age; Place; Cause

B

SECTION 2 PREGNANCY HISTORY  
(Only for women below 45 years)

1. Name of woman : \_\_\_\_\_
2. Number of years married : \_\_\_\_\_
3. Age at Menarche: \_\_\_\_\_ 4. Age at Marriage: \_\_\_\_\_ 5. Age at Present : \_\_\_\_\_
6. I would like to ask you some questions about your children. Have you ever given birth to children? \_\_\_\_\_ ( 1 = Yes; 2 = No)  
(If YES goto 10)
7. (Probe) Have you ever given birth to any boy or girl, who later died, even if the child lived for only a short period of time? \_\_\_\_\_ (1 = Yes; 2 = No)  
(If YES goto 14)
8. Have you ever been pregnant? \_\_\_\_\_ (1 = Yes ; 2 = No)  
(If YES goto 16)
9. Have you ever been pregnant even if that pregnancy lasted only for about two months? \_\_\_\_\_ (1 = Yes; 2 = No)  
(If YES goto 16; If NO goto Last)
10. Do any of your children live with you? \_\_\_\_\_ (1 = Yes; 2 = No)
11. If YES, how many? Daughters : \_\_\_\_\_ Sons : \_\_\_\_\_
12. If NO, how many live elsewhere? Daughters : \_\_\_\_\_ Sons : \_\_\_\_\_
13. Have you ever given birth to any boy or girl who later died, even if the child lived for only a short time? \_\_\_\_\_ (1 = Yes ; 2 = No)
14. If YES, how many of your children have died? Number : \_\_\_\_\_
15. Summing up, you have given birth to - Number: \_\_\_\_\_
16. (If YES, proceed to ask about each child. If NO, probe further for a correct response).

B

SECTION 2 PREGNANCY HISTORY (contd)  
(Only for women below 45 years)

| Sl No | Date of Delivery | Ges-<br>tation<br>Period | Complications<br>(if any) | Out-<br>come | Current<br>Status<br>(L/D) | (If <del>Dead</del> )<br>Age at<br>Death | Cause |
|-------|------------------|--------------------------|---------------------------|--------------|----------------------------|------------------------------------------|-------|
|       |                  |                          |                           |              |                            |                                          |       |
|       |                  |                          |                           |              |                            |                                          |       |
|       |                  |                          |                           |              |                            |                                          |       |
|       |                  |                          |                           |              |                            |                                          |       |
|       |                  |                          |                           |              |                            |                                          |       |
|       |                  |                          |                           |              |                            |                                          |       |
|       |                  |                          |                           |              |                            |                                          |       |
|       |                  |                          |                           |              |                            |                                          |       |

KEY : Current Status : L = Living ; D = Dead  
Outcome : L = Live births ; S = Still born A = Abortion

Are you pregnant now? (1 = Yes; 2 = No)  
If YES, How many months : \_\_\_\_\_

Second Visit: Any births? (Check with Pregnancy History as also with the HH card).  
Any deaths? (Check with Pregnancy History).



B<sub>1</sub>

PREGNANCY HISTORY FORMAT

HH No : \_\_\_\_\_

Area/Village: \_\_\_\_\_

| Name | Age<br>Yrs | Mths | Sex | Rel to<br>HOH | Educn | Mar<br>Stat | Occupn | Perm.<br>Residence | Preg<br>(Y/N) |
|------|------------|------|-----|---------------|-------|-------------|--------|--------------------|---------------|
|      |            |      |     |               |       |             |        |                    |               |
|      |            |      |     |               |       |             |        |                    |               |
|      |            |      |     |               |       |             |        |                    |               |

Family Type : \_\_\_\_\_

(1 = Nuclear/2 = Joint/3 = Extended)

Religion : \_\_\_\_\_

(H = Hindu/M = Muslim/C = Christian)

Total Household Income : \_\_\_\_\_

Per capita Income : \_\_\_\_\_

SECTION 2

PREGNANCY HISTORY (contd)

(Only for women below 45 years)

| Sl No | Date of<br>Delivery | Ges-<br>tatin<br>Period | Complications<br>(if any) | Out-<br>come | Current<br>Status<br>(L/D) | (If <del>Dead</del> )<br>Age at<br>Death | Cause |
|-------|---------------------|-------------------------|---------------------------|--------------|----------------------------|------------------------------------------|-------|
|       |                     |                         |                           |              |                            |                                          |       |
|       |                     |                         |                           |              |                            |                                          |       |
|       |                     |                         |                           |              |                            |                                          |       |
|       |                     |                         |                           |              |                            |                                          |       |

KEY : Current Status : L = Living ; D = Dead

Outcome : L = Live births ; S = Still born A = Abortion

Section 2

PREGNANCY HISTORY (Only for women below 45 years)

First Visit : Date : \_\_\_\_\_

1. Name of the woman : \_\_\_\_\_

2. No. of years married : \_\_\_\_\_

Age at :

3. Menarche : \_\_\_\_\_

4. Marriage : \_\_\_\_\_

5. Present : \_\_\_\_\_

NON-CEREAL CONSUMPTION

C

Date : \_\_/\_\_/\_\_

Village Name : \_\_\_\_\_

HH No : \_\_\_\_\_

| Name  | Rel to<br>HOH | Age   | Sex   | Education | Occupation | Earning | I/D   |
|-------|---------------|-------|-------|-----------|------------|---------|-------|
| _____ | _____         | _____ | _____ | _____     | _____      | _____   | _____ |
| _____ | _____         | _____ | _____ | _____     | _____      | _____   | _____ |
| _____ | _____         | _____ | _____ | _____     | _____      | _____   | _____ |
| _____ | _____         | _____ | _____ | _____     | _____      | _____   | _____ |
| _____ | _____         | _____ | _____ | _____     | _____      | _____   | _____ |
| _____ | _____         | _____ | _____ | _____     | _____      | _____   | _____ |
| _____ | _____         | _____ | _____ | _____     | _____      | _____   | _____ |

## CODING : a) Relation to HOH :

W = Wife ; S = Son; D = Daughter ; G = Grandchild ; M = Mother  
F = Father; I = Son-in-law/Daughter-in-law

b) Sex : 1 = Male ; 2 = Female

c) Education : Classes 1 - 10 = No 1 - 10; Graduate = 11

d) Occupation : C = Coolie ; L = Agriculture on own land  
A = Artisan; O = Others

e) I/D (Independent/Dependant) : 1 = Independent ; 2 = Dependant

DEFINITIONS : Household - those living and cooking under one roof.

HOH - Head of the Household - the decision maker in the family.



FOOD CONSUMPTION

C

C E R E A L S:

1. Rice
2. -----
3. -----
4. Wheat / Suji / Maida / Atta
5. Jowar / Bajra / Maize
6. Small Millet
7. Ragi

P U L S E S:

1. Thuvar
2. Moong
3. Urad
4. Khesari
5. Others

M E A T Prods:

1. Meat
2. Poultry
3. Eggs
4. Fish

M I L K & Milk prods.:

1. Milk
2. Curd
3. Butter milk
4. Butter
5. Ghee

O I L Prods.:

1. Mustard oil
2. Groundnut oil
3. Coconut oil
4. Til oil

V E G E T A B L E S:

1. -----
2. Potato
3. Others

F R U I T S:

1. Banana
2. Others

Others Items:

1. Sugar
2. Jaggery

KEY:

C : Cash Purchase  
H : Home Grown  
B : Borrowed



## C

(A)

[illegible]

## C

(B)

[illegible]



Random Numbers Upto 100 (an example)

|    |    |    |    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|----|----|----|
| 53 | 25 | 43 | 11 | 85 | 93 | 74 | 42 | 48 | 63 |
| 10 | 18 | 90 | 49 | 42 | 74 | 92 | 63 | 68 | 21 |
| 97 | 32 | 32 | 36 | 59 | 51 | 86 | 64 | 21 | 45 |
| 84 | 56 | 18 | 70 | 91 | 20 | 0  | 29 | 24 | 11 |
| 85 | 24 | 16 | 34 | 38 | 17 | 6  | 81 | 69 | 33 |
| 53 | 83 | 63 | 77 | 71 | 99 | 27 | 80 | 1  | 17 |
| 71 | 69 | 92 | 96 | 9  | 63 | 19 | 14 | 81 | 92 |
| 22 | 58 | 10 | 43 | 71 | 28 | 78 | 32 | 51 | 71 |
| 24 | 96 | 81 | 39 | 72 | 17 | 49 | 73 | 85 | 62 |
| 79 | 6  | 40 | 61 | 19 | 2  | 28 | 95 | 54 | 11 |

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Random Numbers Upto 300 (an example)

|     |     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 68  | 292 | 281 | 192 | 58  | 62  | 19  | 294 | 68  | 59  |
| 20  | 94  | 130 | 59  | 144 | 31  | 75  | 133 | 270 | 181 |
| 218 | 107 | 117 | 207 | 9   | 296 | 33  | 285 | 67  | 216 |
| 63  | 0   | 81  | 70  | 291 | 21  | 88  | 212 | 53  | 248 |
| 34  | 74  | 242 | 134 | 299 | 188 | 258 | 289 | 200 | 63  |
| 164 | 247 | 178 | 54  | 195 | 217 | 144 | 290 | 55  | 211 |
| 7   | 289 | 116 | 114 | 160 | 258 | 294 | 10  | 165 | 44  |
| 106 | 125 | 38  | 144 | 117 | 138 | 237 | 112 | 101 | 295 |
| 117 | 92  | 173 | 117 | 10  | 11  | 196 | 187 | 61  | 54  |
| 157 | 110 | 45  | 12  | 24  | 153 | 161 | 210 | 276 | 249 |
| 98  | 95  | 103 | 290 | 280 | 221 | 195 | 181 | 176 | 89  |
| 187 | 285 | 2   | 278 | 95  | 122 | 82  | 133 | 16  | 167 |
| 291 | 50  | 214 | 103 | 171 | 217 | 67  | 161 | 27  | 38  |
| 102 | 126 | 293 | 37  | 200 | 229 | 69  | 223 | 271 | 5   |
| 248 | 44  | 114 | 229 | 96  | 212 | 243 | 126 | 233 | 94  |
| 92  | 59  | 263 | 296 | 199 | 89  | 96  | 198 | 255 | 110 |
| 145 | 91  | 235 | 62  | 82  | 67  | 106 | 216 | 93  | 198 |
| 205 | 32  | 85  | 175 | 190 | 101 | 183 | 244 | 174 | 251 |
| 96  | 93  | 131 | 133 | 60  | 236 | 271 | 188 | 55  | 152 |
| 296 | 273 | 297 | 113 | 232 | 181 | 2   | 84  | 131 | 221 |
| 136 | 192 | 155 | 83  | 224 | 77  | 123 | 172 | 46  | 11  |

...contd

Random Numbers Upto 300 (an example) - (contd)

|     |     |     |     |     |     |     |     |     |    |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|
| 50  | 17  | 206 | 26  | 144 | 62  | 69  | 220 | 289 | 22 |
| 283 | 277 | 200 | 20  | 269 | 153 | 252 | 2   | 287 | 28 |
| 285 | 218 | 282 | 252 | 281 | 282 | 299 | 249 | 226 | 11 |
| 72  | 184 | 30  | 150 | 198 | 89  | 140 | 119 | 73  | 25 |
| 113 | 14  | 112 | 169 | 278 | 226 | 143 | 256 | 155 | 25 |
| 270 | 260 | 275 | 176 | 14  | 126 | 143 | 148 | 243 | 28 |
| 205 | 201 | 43  | 187 | 273 | 168 | 5   | 180 | 127 | 27 |
| 156 | 204 | 203 | 286 | 79  | 26  | 237 | 260 | 6   | 17 |

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I N D I C A T O R S

(at National Level)



Adult Literacy Rates 1981 (for major states in India, age 15 years and above)

| State          | Persons | Males | Females |
|----------------|---------|-------|---------|
| INDIA          | 40.76   | 54.84 | 25.68   |
| Andhra Pradesh | 32.32   | 34.32 | 20.03   |
| Bihar          | 29.34   | 44.85 | 13.17   |
| Gujarat        | 48.32   | 62.76 | 33.16   |
| Haryana        | 39.28   | 54.43 | 21.58   |
| Karnataka      | 77.48   | 56.90 | 28.23   |
| Kerala         | 78.14   | 85.98 | 70.79   |
| Madhya Pradesh | 32.27   | 47.63 | 15.88   |
| Maharashtra    | 51.68   | 67.98 | 34.56   |
| Orissa         | 38.85   | 55.98 | 21.16   |
| Punjab         | 42.19   | 50.71 | 32.42   |
| Rajasthan      | 28.24   | 43.01 | 12.03   |
| Tamil Nadu     | 50.46   | 65.99 | 34.65   |
| Uttar Pradesh  | 30.50   | 45.36 | 13.92   |
| West Bengal    | 48.13   | 61.15 | 33.25   |

Source: Census of India 1981

Refer: An analysis of the situation of children in India, published by UNCF, New Delhi 1984

Enrolment in Classes I - V (1950-51 to 1981-82)

| Year       | Enrolment (in millions) |        |        | Percentage of children enrolled in classes I-V to total population in the age group 6 - 11 |       |       |
|------------|-------------------------|--------|--------|--------------------------------------------------------------------------------------------|-------|-------|
|            | Boys                    | Girls  | Total  | Boys                                                                                       | Girls | Total |
| 1950-51    | 13770                   | 5.385  | 19.155 | 60.8                                                                                       | 24.9  | 42.6  |
| 1960-61    | 23.593                  | 11.401 | 34.994 | 82.6                                                                                       | 41.4  | 62.4  |
| 1970-71    | 35.739                  | 21.306 | 57.045 | 92.6                                                                                       | 59.1  | 76.4  |
| 1980-81(P) | 44.576                  | 28.112 | 72.688 | 99.0                                                                                       | 66.2  | 83.1  |
| 1981-82(P) | 44.976                  | 28.587 | 73.563 | 99.4                                                                                       | 66.9  | 83.7  |

(P) : Provisional      Source: Ministry of Education

Refer: An analysis of the situation of children in India, published by UNCF, New Delhi 1984

Per Capita Apparent Consumption of Foodgrains

| Year      | Grams per day |
|-----------|---------------|
| 1951 - 53 | 397           |
| 1961 - 63 | 458           |
| 1971 - 73 | 452           |
| 1977 - 79 | 458           |
| 1980      | 411           |
| 1981      | 454           |
| 1982      | 454           |

Note: The 1977-79 figures is 15.4 % more than that of 1951-53

Source: Manrai, ML and Bhatnagar, DS, "Food Strategy for Eighties" Kurukshetra, December 1983

Refer: An analysis of the situation of children in India, published by UNICEF, New Delhi 1984, pg. 47

Percentage Distribution of 1 - 5 year Children according to weight for age

| Year | No. of states | N     | Degree of malnutrition<br>(Gomez Classification) |                |                      |                |
|------|---------------|-------|--------------------------------------------------|----------------|----------------------|----------------|
|      |               |       | Nor-mal<br>>90%                                  | Mild<br>75-90% | Moderate<br>60 - 75% | Severe<br><60% |
| 1969 | 6             | 18000 | 3.0                                              | 14.0           | 65.0                 | 18.0           |
| 1974 | 9             | 2410  | 3.8                                              | 21.9           | 53.8                 | 20.5           |
| 1975 | 7             | 1721  | 3.4                                              | 22.1           | 52.6                 | 21.5           |
| 1976 | 9             | 6775  | 10.6                                             | 41.1           | 39.8                 | 8.5            |
| 1978 | 10            | 4713  | 14.3                                             | 42.4           | 34.9                 | 8.4            |
| 1980 | 8             | 4008  | 14.8                                             | 47.9           | 32.6                 | 4.7            |

Source: National Nutriton Monitoring Bureau, Institute of Nutrition, 1981

Refer: An analysis of the situation of children in India, published by UNICEF, New Delhi 1984



## Crude Birth Rate for Major States

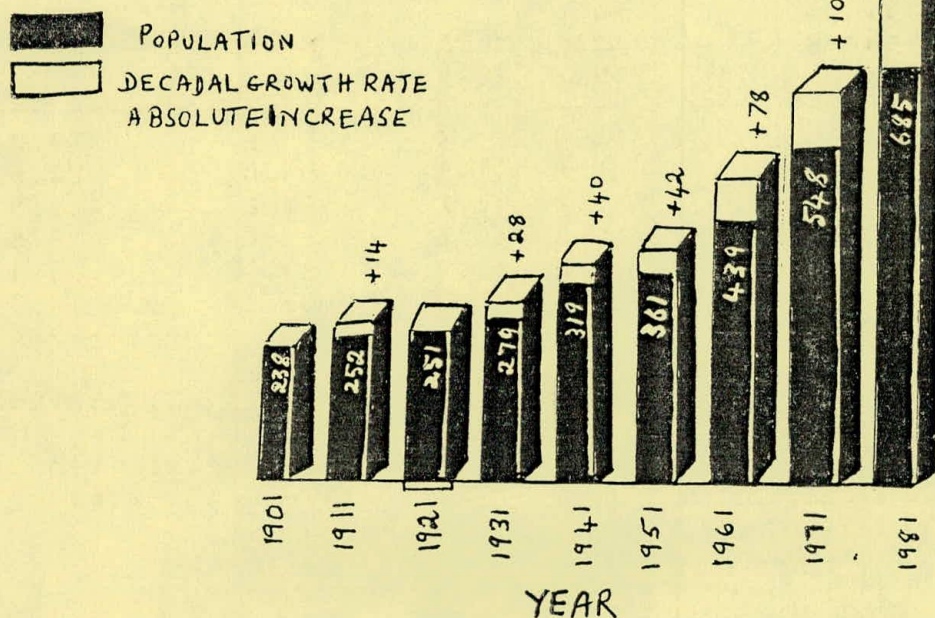
(per 1000 population)

| Year | Rural | Urban | Total |
|------|-------|-------|-------|
| 1970 | 38.9  | 29.7  | 36.8  |
| 1971 | 38.9  | 30.1  | 36.9  |
| 1972 | 38.4  | 30.5  | 36.6  |
| 1973 | 35.9  | 28.9  | 34.6  |
| 1974 | 35.9  | 28.4  | 34.5  |
| 1975 | 36.7  | 28.5  | 35.2  |
| 1976 | 35.8  | 28.4  | 34.4  |
| 1977 | 34.3  | 27.8  | 33.0  |
| 1978 | 34.7  | 27.8  | 33.3  |
| 1979 | 34.3  | 28.3  | 33.3  |
| 1980 | 34.6  | 27.3  | 33.3  |

Source: Vital Statistics Division, Office of the Registrar General

Refer: An analysis of the situation of children in India, published by UNCF, New Delhi 1984

## POPULATION OF INDIA (1901-81) (In millions)





Crude Death Rate (per 1000)

| Year | Rural | Urban | Total |
|------|-------|-------|-------|
| 1970 | 17.3  | 10.2  | 15.7  |
| 1971 | 16.4  | 9.7   | 16.9  |
| 1972 | 18.9  | 10.3  | 16.9  |
| 1973 | 17.0  | 9.6   | 15.5  |
| 1974 | 15.9  | 9.2   | 14.5  |
| 1975 | 17.3  | 10.2  | 15.9  |
| 1976 | 16.3  | 9.5   | 15.9  |
| 1977 | 16.0  | 9.4   | 14.7  |
| 1978 | 15.3  | 8.4   | 14.2  |
| 1979 | 13.9  | 8.0   | 12.4  |
| 1981 | 13.7  | 7.9   | 12.5  |

Source: Sample Registration Bulletin, Vital Statistics Division, Office of the Registrar General  
Refer: An analysis of the situation of children in India, published by UNCF, New Delhi 1984

Child Deaths (1-4 year per 1000 population) in Ludhiana and North Arcot District

| Underlying causes                          | Ludhiana 3 rural centres |      | North Arcot District |      |        |      |
|--------------------------------------------|--------------------------|------|----------------------|------|--------|------|
|                                            | Number                   | Rate | Rural                |      | Urban  |      |
|                                            |                          |      | Number               | Rate | Number | Rate |
| All causes                                 | 48                       | 6.9  | 358                  | 24.0 | 163    | 15.0 |
| Diarrhoeal diseases                        | 11                       | 1.6  | 90                   | 6.0  | 34     | 3.1  |
| Measles                                    | 8                        | 1.1  | 37                   | 2.5  | 36     | 3.3  |
| Other infectious diseases                  | 1                        | 0.1  | 29                   | 1.9  | 20     | 1.8  |
| Nutritional deficiency                     | 5                        | 0.7  | ---                  | ---  | ---    | ---  |
| Disease of nervous system and sense organs | 1                        | 0.1  | 1                    | 0.1  | 1      | 0.1  |
| Disease of respiratory system              | 8                        | 1.1  | 2                    | 0.1  | ---    | ---  |
| Congenital anomalies                       | 1                        | 0.1  | ---                  | ---  | ---    | ---  |
| Other and unknown causes                   | 12                       | 1.7  | 191                  | 12.0 | 67     | 6.1  |
| External causes                            | 2                        | 0.3  | 8                    | 0.5  | 5      | 0.5  |

Source: Arole and Rohde, 'Organisation of Health Service and Training of Physicians for Child Health Services', 1983.  
Refer: An analysis of the situation of children in India, published by UNCF, New Delhi 1984