

DISEASE SURVEILLANCE SYSTEM

GUIDELINES FOR MEDICAL OFFICERS AND REPORTING FORMATS

KARNATAKA HEALTH SYSTEM DEVELOPMENT PROJECT

PREFACE

This manual has been prepared for guiding the medical officers of all primary and secondary level health institutions in Karnataka on surveillance of infectious diseases and non-infectious diseases of public health importance.

This manual includes the basic information on disease surveillance, method of reporting case definitions, types of surveillance and reporting formats for various diseases.

The medical officers are requested to study this manual carefully and understand the importance of accurate and timely reporting, so that, timely and appropriate measures can be taken by the designated agencies to control the diseases.

GUIDELINES FOR SURVEILLANCE

Burden of Diseases:

The burden of infectious diseases in the state is very high. Infectious diseases are major causes of morbidity and mortality in children as well as all hospital admissions. Tuberculosis and malaria are leading causes of death. Diseases, hitherto unknown to Karnataka, such as Japanese Encephalitis and Dengue fever are spreading.

The population is undergoing socio-economic transition or change on account of urbanisation, increased travel, reduced physical activities, changing food and lifestyles. This has resulted in the increased prevalence of non-infectious diseases like coronary thrombosis, hypertension, diabetes, cancer etc..

Outbreaks of epidemics and increased prevalence of non-infectious diseases cause heavy human and economic burden. Due to increased international travel there is high risk of importing new infections with potential for an explosive outbreak e.g., yellow fever. International health agencies such as WHO, enforce member countries to comply with International Health Regulations so that the risk is minimised.

Need for Disease Control:

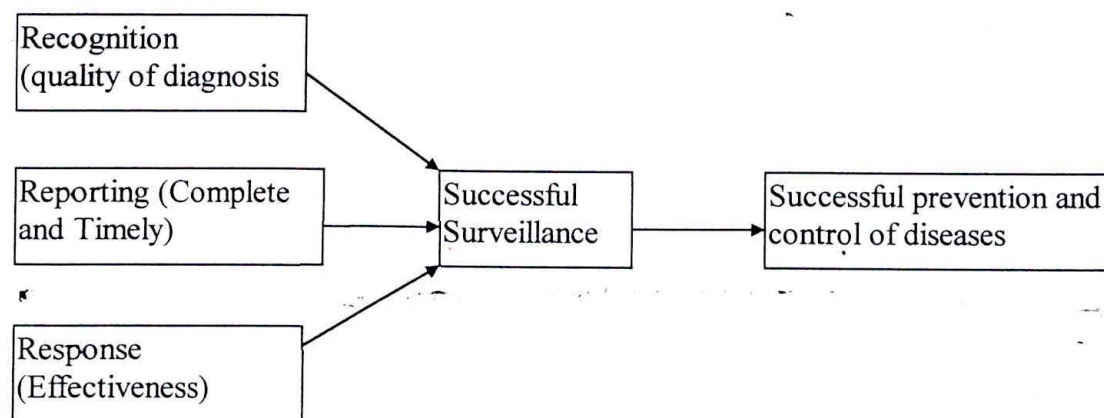
There is urgent need for disease control. Some of the approaches for controlling diseases which has been adopted so far are as follows:-

1. Prophylactic immunization (primary prophylaxis). E.g., vaccine preventable childhood diseases like Measles, Diphtheria, Pertussis, Tetanus, Polio.
2. Early diagnosis and prompt treatment (secondary prophylaxis). E.g., TB, Leprosy.
3. Vector control. E.g., Malaria.
4. Cost effective treatment have drastically reduced mortality due to some diseases like Cholera and Plague.
5. Improving food hygiene, ensuring safe drinking, zooprophyllaxis prevent outbreak of diseases like food and water borne diseases, flurosis rabies etc..

Disease Surveillance:

Any of these interventions are possible only when all the information pertaining to the disease and its outbreak is available. Sporadic cases of infectious diseases turn into epidemic outbreaks due to amplification and transmission of infectious agents which happens silently. Detecting diseases, its origin, distribution and clustering (unusual occurrence of disease in many people at a time and place) are essential steps to understand the phenomenon of amplification and transmission. The word surveillance is used for this process of reporting diseases of public health importance, giving details of who is affected, how many, with what, where, when and how, to institutions which takes measures. Surveillance is the first essential step before taking action to control diseases. Continuous monitoring of the background phenomenon of diseases are essential for early warning signals of any outbreak.

Diagram : Importance of Surveillance



Importance of Disease Surveillance:

Surveillance is necessary for achieving disease eradication. Systematic surveillance of Acute Flaccid Paralysis (AFP) is required for polio eradication. Similarly, measles which is now targeted for eradication requires surveillance.

Surveillance helps to document impact of national programmes. Surveillance system is essential to identifying the areas of low coverage and potential risk of outbreaks. E.g., diphtheria.

Types of Surveillance:

Information regarding occurrence of diseases and their background may be collected by two types of surveillance:

- a) Passive surveillance: Where data is collected at sub-centre, PHC/PHU, CHC or other health institution by health workers whenever a patient reports with a particular disease under surveillance programme.
- b) Active surveillance: Information gathered about a disease when a health worker visits a household and collects data.

Types of diseases that may be brought under surveillance

Type	Reasons
1. Infectious	• Caused by a single agent. • Easily preventable. • Significant from public health view. a. Targeting diseases for eradication. E.g., polio, measles. b. Targeting diseases for elimination / control. E.g., malaria. c. Targeting for local control measures (outbreaks). E.g., Gastroenteritis.
2. Non-infectious a)	• Caused by multiple agents (factors). • Requires designing of control strategies. E.g., coronary heart disease, diabetes.

Diseases included for Surveillance:

Diseases which are being brought under district and state surveillance system through KHSDP are as follows.

- | | |
|--------------------------|--------------------------|
| 1. AIDS | 9. Meningococcal disease |
| 2. Bacillary dysentery | 10. Neonatal tetanus |
| 3. Cholera | 11. Pertussis |
| 4. Dengue | 12. Plague |
| 5. Diphtheria | 13. Rabies |
| 6. Japanese Encephalitis | 14. Salmonellosis |
| 7. Hepatitis | 15. Syphilis |
| 8. Measles | 16. Tuberculosis |

Reporting Diseases under Surveillance:

For effective surveillance, information has to flow continuously from the peripheral health care services to the central agency. Three levels of reporting are recognised:-

- i. Peripheral: Consisting of sub-centres of PHC/PHUs.
- ii. Intermediate at District level.
- iii. Central level: At Directorate of Health & Family Welfare Services.

Two types of reporting are essential for diseases:

- a) Case based reporting: On occurrence of some diseases e.g., Cholera, Plague, Japanese Encephalitis, which need constant monitoring and early & effective control measures.
- b) Aggregated monthly reporting: For all diseases under surveillance.

The table below gives detail about reporting of diseases.

Level of Reporting	Person Responsible	Information Available	Person/s Receiving Report	Periodicity	Person Taking Action
1. <u>Periphery</u> :					
a) Sub-centre	JHA (M & F)	Anganwadi Teachers etc.	A.M.O.(PHC)	Case based reporting: within 24 hrs of case occurring. Aggregate report monthly	A. M. O.
b) PHC	A. M. O. (PHC)	-do-	D.S.O., D H & F W O Taluka MO		D. S. O. D H & F W O
2. Intermediate	D H & F W O D.S.	-	AD(CMD) D H & F W O	-do-	AD(CMD)
3. Central	D H & F W O	-	Director General H & F W S, Govt. Of India	-	-

The success and sustainability of the surveillance system will depend largely on the appropriateness and timeliness of responses elicited by disease reports. Three types of responses are expected from agency receiving the report:-

- Information feedback
- Investigation for aetiology or risk factors
- Interventions for disease control

This critical link between information and action is often weak in surveillance system and which needs to be strengthened. This is one of the main objective of Disease Surveillance Programme under KHSDP.

Acute bloody diarrhoea (children under 5)

RATIONALE FOR SURVEILLANCE

Bloody diarrhoea in children is usually a sign of invasive enteric infection that carries a substantial risk of serious morbidity and death especially in developing countries. *Shigella* is most frequently isolated from the stools of affected children. WHO's policy through the Child Health and Development division is to promote an integrated affordable approach to the management of the sick child. The primary objective is to reduce morbidity and mortality.

RECOMMENDED CASE DEFINITION

Clinical case definition

Acute diarrhoea with visible blood in the stool

Laboratory criteria for diagnosis

Laboratory culture of stools may be used to confirm possible outbreaks of specific diarrhoea, but is not necessary for case definition

Case classification

Not applicable

RECOMMENDED TYPES OF SURVEILLANCE

Patient records should be maintained at peripheral level.

Routine monthly reporting of aggregated data from peripheral level to intermediate and central level.

Community surveys/Sentinel surveillance to complement routine data and for evaluation of control programme activities

Note: Laboratories involved in diagnosis of *Shigella dysenteriae* type 1 should report confirmed cases including zero reporting.

RECOMMENDED MINIMUM DATA ELEMENTS

Case-based data at peripheral level

Unique identifier, age, sex, geographical area

Date onset, date treatment

Treatment given (Y/N), kind of treatment

Hospitalised (Y/N)

Outcome

Aggregated data for reporting

Number of cases < 5 years by geographical area

Number of deaths < 5 years by geographical area

RECOMMENDED DATA ANALYSES, PRESENTATION, REPORTS

- Number of cases by month, geographical area, age group
- Comparisons with same month and geographical area in previous years
- Seasonal and secular data (best presented as line graphs)
- Monthly surveillance summaries should be produced nationally and regionally and fed back
- A quarterly or annual overview is helpful in trying to identify areas of concern and set priorities

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Bacillary dysentery

(caused by *Shigella dysenteriae* type 1)

RATIONALE FOR SURVEILLANCE

Since the early 1990's the emergence of strains of *Shigella dysenteriae* type 1, resistant to most antibiotics, has become a major public health concern in central and southern Africa. The high case fatality and the epidemic potential make surveillance to detect and control the outbreaks essential.

RECOMMENDED CASE DEFINITION

Clinical case definition

Diarrhoea with visible blood in the stool

Laboratory criteria for diagnosis

Isolation of *S. dysenteriae* type 1 from stools

Case classification

Suspected: A case that meets the clinical case definition

Probable: Not applicable

Confirmed: A suspected case that is laboratory-confirmed

RECOMMENDED TYPES OF SURVEILLANCE

Routine weekly/monthly reporting of aggregated data on suspected cases from periphery to intermediate level (This may be integrated with surveillance of diarrhoeal diseases).

Routine weekly/monthly reporting of data on confirmed cases from intermediate level to central level.

Note:

- Intensified surveillance if suspected outbreak: immediate reporting to central level and WHO regional office and investigation
- Central recording of antibiotic resistance is recommended

RECOMMENDED MINIMUM DATA SET

Case-based data for reporting and investigation

Case classification (suspected/confirmed), unique identifier, age, geographical information

Treatment given(Y/N), kind of treatment

Outcome

Aggregated data for reporting

Number of cases (suspected/confirmed) by age group (under or over 5 years), number of hospitalisations, number of deaths

A00

Cholera

Case report universally required by International Health Regulations

RATIONALE FOR SURVEILLANCE

Cholera causes an estimation of 120 000 deaths per year and is prevalent in 80 countries. In Africa epidemics have become more frequent and case fatality rates higher. The world is currently experiencing the 7th pandemic. Refugee or displaced populations are at major risk of epidemics due to the conditions prevailing in the camps (unsafe water, poor sanitation and hygiene). Control of the disease requires appropriate surveillance with universal case reporting. Health education of population at risk and improvement of living conditions of population are essential preventive measures.

RECOMMENDED CASE DEFINITION**Clinical case definition**

- In an area where the disease is not known to be present, severe dehydration or death from acute watery diarrhoea in a patient aged 5 years or more or
- In an area where there is a cholera epidemic, acute watery diarrhoea, with or without vomiting in a patient aged 5 years or more*

Laboratory criteria for diagnosis

Isolation of *Vibrio cholerae* 01 or 0139 from stools in any patient with diarrhoea

Case classification

Suspected: A case that meets the clinical case definition

Probable: Not applicable

Confirmed: A suspected case that is laboratory-confirmed

Note: in a cholera-threatened area, when the number of "confirmed" cases rises, shift should be made to using primarily the "suspected" case classification.

*Cholera does appear in children under 5 years, however, the inclusion of all cases of acute watery diarrhoea in the 2-4 year age group in the reporting of cholera greatly reduces the specificity of reporting. For management of cases of acute watery diarrhoea in an area where there is a cholera epidemic, cholera should be suspected in all patients.

RECOMMENDED TYPES OF SURVEILLANCE

Routine surveillance (this may be integrated with surveillance of diarrhoeal diseases: see acute watery diarrhoea).

Immediate case-based reporting of suspected cases from periphery to intermediate level and central level. All suspected cases and clusters should be investigated.

Aggregated data on cases should also be included in routine weekly/monthly reports from peripheral to intermediate and central level.

International: The initial suspected cases should be reported to WHO (mandatory).
Aggregated data on cases should be reported to WHO (mandatory).

Outbreak situations:

- During outbreak situations surveillance should be intensified with the introduction of active case finding
- Laboratory confirmation should be performed as soon as possible
- Thereafter weekly reports of cases, ages, deaths, regions, and hospital admissions should be set up

**Aggregated Data Report From District Surveillance Unit For
Cholera, Bloody Dysentery, Acute Bloody Diarrhoea**

[illegible]

COMMUNICABLE DISEASES SURVEILLANCE PROGRAMME

Daily case based data for Cholera / Gastro Enteritis

(To be submitted by Health Workers (M/F) to the PHC on occurrence of every suspected case)

Name of the reporting S.C.:	Date & month of reporting:
Name of the Officer or information:	Signature:
Report submitted to:	Copy to:

[illegible]

Address:

Date:

Signature of reporting Authority

FCB - 7

COMMUNICABLE DISEASES SURVEILLANCE PROGRAMME

Daily case based data for Cholera / Gastro Enteritis

(To be submitted by the Administrative Medical Officer of all the Medical Institutions in the district to the District Surveillance Units and D.H.& F.W.O. on occurrence of every suspected / confirmed case)

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Signature of the Administrative Medical Officer

(Note: Fill up the relevant columns applicable to their institutions)

Karnataka Health Systems Development Project

Surveillance of Communicable Diseases

Case report for Gastro Enteritis / Cholera

(To be filled up by the Medical Officer for every suspected case / contacts he examines)

A.	Village	Sub-centre	
	Taluka	District	
	PHC	Name of MO with signature:	
B.	Personal history of case		
	Name:		Age: Sex: Male / Female
	Marital Status	Father / Husband	Education
	Married / Single	Nil	Primary Secondary University
	Occupation:	Address:	
	No. of family members:	No. having same complaints:	
	C. Case History		
Complaints: Watery diarrhoea / Vomiting / Fever			
Date of onset:	Last food eaten at:	Date:	
Whether moved out during last 15 days: Yes / No.			
If Yes, Village/s visited:			
Reasons for visit: Work / Meeting relatives / Festivals / Other (specify):			
Source of drinking water: Well / Tap / Lake / River / Hand pump			
Food consumed: Home made / Community food / Hotel food			
Had contact with person/s with same symptoms (shared food/water/utensils): Yes / No			
If Yes, Name and address of that person/s:			
Type of latrine used: Open field / Service / Sanitary / Community latrine			
Practices handwashing following defaecation: Soap / Ash / Mud / Only water			
Case informed to J.H.A. at Sub-centre, Village Headman, Anganwadi, Teacher, other (specify)			
Past history:	Date:		
Treatment: Taken / Not taken. If taken, by whom: Pharmacy/Private practitioner/Sub-centre/Other (specify)			
D.	Treatment details: Specific (Antibiotics): Non-specific (Fluids, Antidiarrhoeals etc.):		
	Examination report		
E.	General condition: Ambulant / critically ill (low BP, dehydration, signs of shock)		
	Pulse:	Temp: BP: Degree of dehydration: Mild / Moderate / Severe	
	Specific signs if any		
	Investigations: Vomitus sample / Stool sample		
F.	Outcome	Recovered with Date: Died (Date):	

CR - 1

Note: Please fill all columns. Where multiple responses/findings are given, put (✓) against response given/finding observed.

A36

Diphtheria**RATIONALE FOR SURVEILLANCE**

Diphtheria is a widespread severe infectious disease that has the potential for epidemics. The control of diphtheria is based on the following three measures 1) primary prevention of disease by ensuring high population immunity through immunization; 2) secondary prevention of spread by the rapid investigation of close contacts, to ensure their proper treatment, 3) tertiary prevention of complications and deaths by early diagnosis and proper management. Surveillance data can be used to monitor levels of immunization coverage (Target > 90%) and disease, to predict epidemics and to monitor the impact of control programmes. Recent epidemics have highlighted the need for adequate surveillance and epidemic preparedness.

RECOMMENDED CASE DEFINITION**Clinical case definition**

An illness characterised by laryngitis or pharyngitis or tonsillitis, and an adherent membrane of the tonsils, pharynx and/or nose

Laboratory criteria for diagnosis

- isolation of *Corynebacterium diphtheriae* from a clinical specimen, or
- fourfold or greater rise in serum antibody, (but only if both serum samples were obtained before the administration of diphtheria toxoid or antitoxin)

Case classification

Suspected: Not applicable

Probable: A case that meets the clinical description

Confirmed: A probable case that is laboratory-confirmed or linked epidemiologically to a laboratory-confirmed case

Note: Asymptomatic persons with positive *C. diphtheriae* cultures (i.e. asymptomatic carriers) should not be reported as probable or confirmed diphtheria cases

RECOMMENDED TYPES OF SURVEILLANCE

Routine monthly reporting of aggregated data of probable or confirmed cases from peripheral level to intermediate and central level.

All outbreaks should be investigated immediately and case-based data collected.

In addition in countries achieving low incidence (usually where coverage is >85-90%): immediate reporting of case-based data of probable or confirmed cases from peripheral level to intermediate and central level.

International: Aggregated data of probable or confirmed cases from national reports should be reported monthly to the WHO regional offices.

RECOMMENDED MINIMUM DATA ELEMENTS**Aggregated data for reporting:**

- Number of cases
- DTP doses administered to infants

Case-based data

- Unique identifier
- Geographical information
- Date of birth
- Date of onset
- Date of first treatment
- Treatment type (antibiotic & antitoxin/antibiotic only/antitoxin only/no or other treatment/unknown)

B05

Measles**RATIONALE FOR SURVEILLANCE**

Measles is targeted for elimination (9GPW 6.2). Surveillance for measles should evolve with each phase of measles control. Countries in the "measles control" phase are endemic and should concentrate on raising routine measles immunization coverage and focusing extra immunization efforts in areas with high measles morbidity. Countries in the more advanced "measles outbreak prevention phase" are achieving high routine measles coverage and low incidence with periodic outbreaks. Surveillance in these countries should be used to predict potential outbreaks and identify risk outbreak. Countries in the most advanced "measles elimination phase" in which the objective is to completely interrupt measles transmission require very intensive case-based surveillance to detect, investigate, and confirm every suspect measles case in the community.

RECOMMENDED CASE DEFINITION**Clinical case definition**

Any person with:

- fever, and
- maculopapular (i.e. non-vesicular) rash, and
- cough, coryza (i.e. runny nose) or conjunctivitis (i.e. red eyes).

or Any person in whom a clinician suspects measles infection

Laboratory criteria for diagnosis

- At least a four-fold increase in antibody titre or isolation of measles virus or
- Presence of measles-specific IgM antibodies

Case classification

Clinically confirmed: A case that meets the clinical case definition

Probable: Not applicable

Laboratory-confirmed A case that meets the clinical case definition and that is laboratory confirmed or linked epidemiological to a laboratory-confirmed case

RECOMMENDED TYPE(S) OF SURVEILLANCE

Control phase: When measles is endemic, routine monthly reporting of aggregated data of clinical cases from peripheral to intermediate and central level. Only outbreaks (not each case) should be investigated.

International: routine monthly reporting of aggregated data specifying geographical area and month of onset from central level to WHO regional offices.

Outbreak prevention phase: When low incidence is achieved with periodic outbreaks due to accumulation of susceptibles, routine monthly reporting of aggregated data of clinical cases from peripheral to intermediate and central level. All suspected outbreaks should be investigated immediately and case-based data collected. Suspected epidemics should be confirmed by conducting serology on the first few cases only.

International: routine monthly reporting of aggregated data of clinical cases specifying geographical area, month of onset, age group and immunization status

Elimination phase: Case-based surveillance should be conducted and every case reported and investigated immediately from peripheral level to intermediate level, and also included in the weekly reporting system. Laboratory specimens should be collected on every case.

A37.0

Pertussis
(Whooping cough)

RATIONALE FOR SURVEILLANCE

Pertussis is a major cause of childhood morbidity and mortality. Every year nearly 5 million children suffer from broncho-pneumonia as a result of pertussis infection and 50 000 develop long-term neurological sequelae. Case fatality in developing countries can reach 15%. High routine coverage with effective vaccine is the mainstay of prevention. Surveillance data on the disease can monitor the impact of vaccination on disease incidence and identify high risk areas.

RECOMMENDED CASE DEFINITION

Clinical case definition

A person with a cough lasting at least 2 weeks with one of the following

- paroxysms (i.e. fits) of coughing,
- inspiratory "whoop",
- post-tussive vomiting (i.e. vomiting immediately after coughing),

and without other apparent cause.

Laboratory criteria for diagnosis

- isolation of *Bordetella pertussis*, or
- presence of IgG or IgA directed toward pertussis toxin (PT) or filamentous hemagglutinin antigen (FHA).

Case classification

Suspected: A case that meets the clinical case definition

Confirmed: A suspected case that is laboratory-confirmed or linked epidemiological to a laboratory-confirmed case

RECOMMENDED TYPES OF SURVEILLANCE

Routine monthly reporting of aggregated data of suspected and confirmed cases from peripheral level to intermediate and central level. All outbreaks should be investigated immediately and laboratory-confirmed. During an outbreak, case-based data should be collected. Case-based surveillance may be considered in countries with low pertussis incidence (usually where coverage is >80%).

International: Aggregated data of clinical (suspected) and confirmed cases should be included in routine monthly surveillance reports of all countries to WHO regional offices.

RECOMMENDED MINIMUM DATA ELEMENTS

Aggregated data for reporting

- Number of cases
- Number of third doses of diphtheria-pertussis-tetanus vaccine (DTP3) administered to infants
- Completeness/timeliness of monthly reports

Case-based data for investigation and reporting

Unique identifier, geographical information, date of birth, date of onset, total pertussis vaccine doses, classification (confirmed/suspected/discarded), outcome (alive/dead/unknown)

Case-based Data for Diphtheria (A 36)

(To be submitted by Administrative Medical Officers of all Health Institutions from PHC/CHC/TLH/Any other Health Institutions to District Surveillance Officer & DH&FWO on occurrence of every suspected/confirmed case)

Name of Reporting Institution:		Month & Year of Reporting:	
Name of Adm. Medical Officer:		Signature:	
Report submitted to:		Copy to:	

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A90, A91

*Dengue Fever (A90), including Dengue Haemorrhagic Fever and**Dengue Shock Syndrome (DHF & DSS, A91)***RATIONALE FOR SURVEILLANCE**

Dengue fever, including DHF and DSS, is the most significant arthropod-borne viral disease world-wide. It occurs in over 100 countries and territories and threatens the health of over 2 500 million people in tropical and subtropical regions. Dengue fever is a severe disease with high epidemic potential. An estimated 500 000 patients are hospitalised with DHF/DSS every year, 90% of whom under the age of 15. WHO aims to accelerate the final development of attenuated dengue vaccine.

RECOMMENDED CASE DEFINITION**DENGUE FEVER****Clinical description**

An acute febrile illness of 2-7 days duration with **two or more** of the following manifestations: headache, retro-orbital pain, myalgia, arthralgia, rash, haemorrhagic manifestations, leucopenia

Laboratory criteria for diagnosis

one or more of the following:

- Isolation of the dengue virus from serum, plasma, leukocytes, or autopsy samples
- Demonstration of a fourfold or greater change in reciprocal IgG or IgM antibody titres to one or more dengue virus antigens in paired serum samples
- Demonstration of dengue virus antigen in autopsy tissue by immunohistochemistry or immunofluorescence or in serum samples by EIA
- Detection of viral genomic sequences in autopsy tissue, serum or CSF samples by polymerase chain reaction (PCR)

Case classification

Suspected: A case compatible with the clinical description

Probable: A case compatible with the clinical description with **one or more** of the following:

- supportive serology (reciprocal haemagglutination-inhibition antibody titre ≥ 1280 , comparable IgG EIA titre or positive IgM antibody test in late acute or convalescent-phase serum specimen)
- occurrence at same location and time as other confirmed cases of dengue fever

Confirmed: A case compatible with the clinical description that is laboratory-confirmed

CRITERIA FOR DENGUE HAEMORRHAGIC FEVER AND DENGUE SHOCK SYNDROME**Dengue Haemorrhagic Fever:**

A probable or confirmed case of Dengue

and haemorrhagic tendencies evidenced by **one or more** of the following

- positive tourniquet test
- petechiae, ecchymoses or purpura
- bleeding from mucosa, gastrointestinal tract, injection sites or other sites
- haematemesis or melena

and thrombocytopenia ($100\,000$ cells per mm^3 or less)

and evidence of plasma leakage due to increased vascular permeability, manifested by **one or more** of the following:

- a rise in average haematocrit for age and sex $\geq 20\%$
- a $\geq 20\%$ drop in haematocrit following volume replacement treatment compared to baseline
- signs of plasma leakage (pleural effusion, ascites hypoproteinaemia)

Dengue shock syndrome:

All the above criteria for DHF plus evidence of circulatory failure manifested by rapid and weak pulse, and narrow pulse pressure (≤ 20 mm Hg)

or

hypotension for age, and cold, clammy skin and restlessness

RECOMMENDED TYPES OF SURVEILLANCE

Areas where no dengue transmission has been detected but where *Aedes aegypti* occurs
Surveillance of suspected cases with investigation of clusters of suspected cases for dengue.

Countries where disease is endemic with seasonal increases in transmission and areas where epidemic dengue occurs

Routine weekly/monthly reporting of aggregated data of suspected, probable and confirmed cases from peripheral to intermediate and central level.

RECOMMENDED MINIMUM DATA ELEMENTS**Case-based data at the peripheral level**

Case classification (suspected/probable/confirmed), serotype, DHF/DSS present (Y/N)

Unique identifier, name of patient, age, sex, geographical information

Date of onset

Hospitalised (Y/N)

Outcome

2 week travel history

Aggregated data for reporting

Number of cases by age group

Number of confirmed (and serotype)

Number of DHF/DSS cases by age group

Number of hospitalisations and deaths

RECOMMENDED DATA ANALYSES, PRESENTATION, REPORTS

Percentage of DHF/DSS cases and of hospitalisations

Case fatality

PRINCIPAL USES OF DATA FOR DECISION MAKING

- Target high risk areas for intervention
- Monitor changes in serotype and rate of DHF/DSS
- Monitor trends in endemic disease or re-emergence of disease

SPECIAL ASPECTS

Parallel to disease surveillance, vector surveillance of both larval and adult populations of *A. aegypti*

CONTACT**Regional offices**

See Regional Communicable Disease contacts on pages 15-20

Headquarters

WHO Division of Emerging and Other Communicable Diseases Surveillance and Control (EMC)
20 Avenue Appia, CH-1211 Geneva 27, Switzerland

E-mail: arthurr@who.ch / outbreakemc@who.ch

Tel: (41 22) 791 2658 / 2850 / 2111

Fax: (41 22) 791 4878

Communicable Diseases Surveillance Programme
Dengue Fever Including Dengue Haemorrhage Fever (DHF) and Dengue Shock Syndrome (DSS)

Case based data for reporting and investigation : All PHCs/PHUs submit to Dist. Surveillance M.O and copy to T.M.O on occurrence of suspected case

A	PHC		Taluka	District	Name of AMO		Signature	Month & Year of Report
	Code	Name						
B	Case based data : Submitted to :				Copy to :			

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FCB-1

A82

Rabies**RATIONALE FOR SURVEILLANCE**

Rabies is present on all continents and is endemic in most African and Asian countries. It is a fatal zoonotic viral disease which is transmitted to humans through contacts (mainly bites and scratches) with infected animals both domestic and wild. Over 40 000 human deaths are estimated to occur each year world-wide most of them in the developing world, mainly in Asian countries. An estimated 10 million people receive post exposure treatments each year after being exposed to rabies suspected animals.

WHO promotes human rabies prevention by well-targeted post exposure treatment and increased availability of modern rabies vaccine as well as disease elimination by mass vaccination of dogs and other animal reservoir species. Surveillance of both human and animal rabies is essential to rapidly detect high risk areas and outbreaks and to monitor the use of vaccine.

RECOMMENDED CASE DEFINITION**Clinical description**

An acute neurological syndrome (encephalitis) dominated by forms of hyperactivity (furious rabies) or paralytic syndromes (dumb rabies) that progresses towards coma and death usually by respiratory failure within 7 to 10 days after the first symptom if no intensive care are instituted. Bite or scratch from a suspected animal can usually be traced back in the patient medical history. The incubation period may vary from days to years but usually falls between 30 to 90 days.

Laboratory criteria for diagnosis

one or more of the following

- Detection by fluorescent antibody (FA) on brain tissue (collected post mortem)
- Detection by FA on skin or corneal smear (collected ante mortem)
- FA positive after inoculation of brain tissue, saliva or CSF in cell culture, mice or suckling mice
- Detectable rabies-neutralising antibody titre in CSF of an unvaccinated person
- Identification of viral antigens by PCR on fixed tissue collected post mortem or in a clinical specimen (brain tissue or skin, cornea or saliva)

Case classification**HUMAN RABIES:**

Suspected: A case that is compatible with the clinical description

Probable: A suspected case with an history of contact with a suspected rabid animal

Confirmed: A suspected case that is laboratory-confirmed

HUMAN EXPOSURE TO RABIES:

Possibly exposed: A person who had a close contact (usually a bite or scratch) with a rabies susceptible animal in/or originating from a rabies infected area

Exposed: A person who had a close contact (usually a bite or scratch) with a laboratory-confirmed rabid animal.

RECOMMENDED TYPES OF SURVEILLANCE**SURVEILLANCE IN HUMAN POPULATION:**

Surveillance of human exposure to rabies: At peripheral level especially in rabies infected area, reports of patients with a history of animal contact (usually a bite/scratch) should be immediately investigated and when required they should be treated as an emergency. Case-based and aggregated data must be sent regularly from peripheral to intermediate and central level.

Human Rabies (A 82)

Case-based data on Human Rabies Exposure on Suspected/Probable cases

To be submitted by all Health Institutions Monthly (PHC and above)

Name of Reporting Institution:		Month & Year of Reporting:	
Name of Administrative Medical Officer:		Signature:	
Report submitted to:		Copy to:	

[illegible]

Aggregated data on Human Rabies Exposure (Dog Bite)

To be submitted by all Health Institutions Monthly

Name of Reporting Institution:		Month & Year of Reporting:	
Name of Administrative Medical Officer:		Signature:	
Report submitted to:		Copy to :	

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Acute lower respiratory tract infections (aLRTI) and Pneumonia

Acute lower respiratory tract infections (aLRTI) and Pneumonia

RATIONALE FOR SURVEILLANCE

Acute lower respiratory infections, of which pneumonia is the most deadly, kills more than 4 million people a year. The majority of these deaths are among children < 5 years, and ARI are the leading cause of death in that age group. ARI are a major impact on health services and household income, accounting for up to 50% of visits by children to health facilities, and are the condition for which antibiotics are often prescribed and misused world wide. The WHO strategy is to reduce severe morbidity and mortality through integrated case management of children at primary level in collaboration with other agencies and governments. Surveillance is necessary to monitor disease trends and control programmes including essential drug use.

RECOMMENDED CASE DEFINITION

Clinical case definition and classification

PNEUMONIA

Symptoms Cough or difficult breathing and

Signs: breathing faster than 50/min for child 2-12 months
breathing faster than 40/min for child 1-5 years
and No chest indrawing, stridor or danger signs

SEVERE PNEUMONIA

Symptoms: Cough or difficult breathing + any danger sign or chest indrawing or stridor in a calm child.

Danger Signs:

For child 2 months to 5 years

Not able to drink or breast feed, vomits everything, convulsion, lethargic or unconscious

For child under 2 months

stopped feeding well, convulsions, lethargy or unconscious, wheezing, fever or low body temperature

Note: Chest indrawing + recurrent wheeze = asthma, probably not pneumonia

RECOMMENDED TYPES OF SURVEILLANCE

Routine monthly aggregated reporting from peripheral level to intermediate and central level.
Community surveys/Sentinel surveillance to complement routine data and for evaluation of control programme activities.

Sentinel surveillance reporting monthly to intermediate and central level.

Quarterly reporting of community/household surveys from peripheral to central level.

RECOMMENDED MINIMUM DATA ELEMENTS

Aggregated data for reporting

Number of cases by age, severity, geographical area, treatment(Y/N), hospitalisation (Y/N), outcome

Acute Lower Respiratory Tract Infection (ALRTI) & Pneumonia
Aggregated Monthly Data Reporting from all Health Institutions (PHC to District Hospital)

Name of Reporting Institution:		Month & Year of Reporting:	
Name of Administrative Medical Officer		Signature:	
Report submitted to:		Copy to:	

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Foodborne diseases

RATIONALE FOR SURVEILLANCE

A foodborne disease is a disease, usually either infectious or toxic in nature, caused by agents that enter the body through ingestion of food or drinking-water. In addition to diseases mentioned in the manual (e.g. salmonellosis, cholera, shigellosis, hepatitis A...) surveillance of other foodborne diseases could be carried out. The surveillance helps to determine the magnitude and trend of foodborne diseases and to monitor and evaluate food safety.

Surveillance is also needed for early detection and control of outbreaks, and identification of risk factors, as well as planning and evaluation of interventions.

RECOMMENDED CASE DEFINITION**Clinical case definition**

The clinical case definition varies with the specific disease

Laboratory criteria for confirmation

Isolation of pathogen

Case classification

Suspected: A case that meets the clinical case definition of a specific foodborne disease

Probable: Not applicable

Confirmed: A suspected case in whom laboratory investigation confirms the presence of one or more foodborne pathogens in a clinical specimen

Outbreak: An incident in which two or more persons experience a similar illness after what is thought to have been a common exposure (ingestion of the same food or ingestion of water of the same source)

RECOMMENDED TYPES OF SURVEILLANCE

Parallel systems of surveillance may be used, depending on specific surveillance objectives

- Routine immediate reporting of case-based data on suspected cases from peripheral level to intermediate level (notifications). Routine weekly reporting of aggregated data on suspected and confirmed cases from peripheral to intermediate and central level
- Routine weekly case-based or aggregated reporting from laboratories on confirmed cases to intermediate and central level
- Sentinel surveillance (utilising reporting physicians or laboratories)
- Community studies

Sentinel surveillance or community studies can provide more detailed epidemiological and microbiological information. These systems may give a better picture of the true incidence and impact of disease in a defined population. However they are likely to miss outbreaks and as such do not represent a valid approach to outbreak detection.

All outbreaks should be investigated and notified to the intermediate and central level.

International: All major foodborne disease outbreaks, particularly those implicating a commercial product, should be reported to the Programme of Food Safety and Food Aid, WHO (Global databank on foodborne diseases (notified cases); global databank on foodborne disease outbreaks (under development), and regional programmes for surveillance of foodborne diseases).

Note : A minimum data set should be collected on each outbreak at intermediate and central level. This should be done after the outbreak investigation and should include key variables describing the nature and extent of the outbreak.

FOOD BORNE DISEASES

Case-based data at PHC : For records

Name of Reporting Institution:		Month & Year of Reporting:
Name of Administrative Medical Officer:		Signature:
Report submitted to:	Copy to:	

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FOOD BORNE DISEASES
Aggregated Monthly Data Reporting from PHC

Name of Reporting Institution:		Month & Year of Reporting:	
Name of Administrative Medical Officer:		Signature:	
Report submitted to:		Copy to:	

Sl. No.	Sub-centre	Number of cases				
		Age group			Sex	
		1-15 yrs.	16-45 Yrs.	>45 Yrs.	M	F

Aggregated Monthly Data Reporting from District

Name of Reporting Institution:		Month & Year of Reporting:	
Name of Administrative Medical Officer:		Signature:	
Report submitted to:		Copy to:	

[illegible]

FOOD BORNE DISEASES

Aggregated data from District Laboratory

[illegible]

A20

Plague

(human)

Case report universally required by International Health Regulations

RATIONALE FOR SURVEILLANCE

Disease endemic in many countries and often has epidemic potential. Surveillance of human and animal disease is important to predict and detect epidemics and to monitor control measures. Case report universally required by International Health Regulations.

RECOMMENDED CASE DEFINITION**Clinical description**

Plague is transmitted to humans by fleas or by direct exposure to infected tissues or respiratory droplets. The disease is characterised by

Rapid onset of fever, chills, headache, severe malaise, prostration with

for *Bubonic form*: extreme painful swelling of lymph nodes (buboes)

for *Pneumonic form*: cough with blood-stained sputum, chest pain, difficult breathing

Laboratory criteria for diagnosis

- Cultural isolation of *Yersinia pestis* from buboes, blood, CSF or sputum or
- Passive hemagglutination test (PHA test) demonstrating four fold change in antibody titre, specific for F1 antigen of *Y. pestis* (HI test) in paired sera

Case classification

Suspected: A case compatible with the clinical description
May or may not be supported by laboratory finding of Gram stain negative bipolar coccobacilli in clinical material (bubo aspirate, sputum, tissue, blood)

Probable: A suspected case with

- Positive FA test for *Y. pestis* in clinical specimen or
- PHA test, with antibody titre of $\geq 1:10$, specific for the F1 antigen of *Y. pestis* as determined by HI.

or

- Epidemiological link with a confirmed case.

Confirmed: A suspected or probable case that is laboratory-confirmed

RECOMMENDED TYPES OF SURVEILLANCE

In all situations: Immediate case-based reporting of suspected cases from peripheral level to intermediate and central level. Laboratory based reporting of all confirmed cases should pertain in all situations

During an outbreak: Intensified surveillance: active case finding and contact tracing should be undertaken in order that treatment can be initiated in cases and contacts as well as targeting environmental measures and community education

A daily report of the number of cases and contacts as well as their treatment status and vital status should be produced. A weekly report should summarize the outbreak situation and summarize control measures taken and those planned to interrupt the outbreak.

International: Mandatory reporting of all suspected and confirmed cases within 24 hours to WHO.

Plague (Human) (A 20)

Case based data for reporting and investigation : PHC

Name of Reporting Institution:		Month & Year of Reporting:	
Name of Adm. Medical Officer		Signature:	
Report submitted to:		Copy to:	

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Case based data at District level

Name of Reporting Institution:		Month & Year of Reporting:	
Name of Adm. Medical Officer:		Signature:	
Report submitted to:		Copy to:	

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Surveillance Definitions

Active case-finding The dynamic identification of the occurrence of a disease or health event under surveillance. (e.g. house visits by community workers to identify cases of tuberculosis).

Active surveillance Routine surveillance where reports are sought dynamically from participants in the surveillance system on a regular basis (e.g. telephoning each participant monthly to ask about new cases).

Aggregate surveillance The surveillance of a disease or health event by collecting summary data on groups of cases (e.g. in many general practice surveillance schemes clinicians are asked to report the number of cases of a specified diseases seen over a period of time)

Attack rate The proportion of those exposed to an infectious agent who become (clinically) ill.

Case A person who meets the case definition.

Case definition A set of diagnostic criteria that must be fulfilled to be regarded as a case of a particular disease. Case definitions can be based on clinical criteria, laboratory criteria or a combination of the two.

Case classification Gradations in the likelihood of being a case (e.g. suspected/probable/confirmed). This is particularly useful where early reporting of cases is important (e.g. Ebola haemorrhagic fever) and where there are difficulties in making definite diagnoses (e.g. specialised laboratory tests required).

Case-based surveillance The surveillance of a disease by collecting specific data on each case (e.g. collecting details on each case of Acute Flaccid Paralysis in polio surveillance)

Case fatality rate The proportion of people who die as a proportion of all cases. This will vary depending on the case definition used.

Cluster The occurrence of an unusual number of cases in person, place or time.

Community surveillance Surveillance where the starting point is a health event occurring in the community and reported by a community worker or actively sought by investigators. This may be particularly useful during an outbreak and where syndromic case definitions can be used. (the active identification of community cases of Ebola virus infection in Kikwit was an example of this type of surveillance)

Comprehensive surveillance The surveillance of a specified disease or health event in the whole population at risk for that event. (e.g. AFP surveillance)

Contact An individual who has had contact with a case in a way that is considered to have caused significant exposure and therefore risk of infection.

Due dates The dates by which reports from a specified period should be received by the each level of a surveillance system. (used to calculate timeliness)

Endemic The constant presence of a disease within a given geographic area or population group.

Enhanced surveillance The collection of additional data on cases reported under routine surveillance. The routine surveillance is a starting point for more specific data collection on a given health event. This information may be sought from the reporter, the case, the laboratory or from another surveillance data set.

Epidemic The occurrence of cases of an illness clearly in excess of expectancy. This is often referred to as an outbreak (more neutral).

Epidemiological case definition The definition of a case used for reporting to the surveillance system. The definition may be clinical, laboratory or both. It may relate to a specified disease (e.g. measles, yellow fever) or may identify a syndrome (e.g. meningitis, AFP)

Exception flagging (reporting) system an automated system of data analysis which calculates thresholds for unusual events or exceptions.

Exposure Someone who has met with an infectious agent in a way that we from experience know may cause disease has been exposed.

Feedback The regular process of sending analyses and surveillance reports on the surveillance data back through all levels of the surveillance system so that all participants can be informed of trends and performance.

Health event Any event relating to the health of an individual (e.g. the occurrence of a specific disease or syndrome, the administration of a vaccine or an admission to hospital)

Hospital surveillance Surveillance where the starting point for a report is the admission of a patient to hospital with a particular disease or syndrome.

Incidence The number of persons who fall ill with a certain disease during a defined time period

Infectious Disease An illness due to a specific infectious agent or its toxic products that arises through transmission of that agent or its products from an infected person, animal, or reservoir to a susceptible host, either directly or indirectly through an intermediate plant or animal host, vector, or inanimate environment.

Intensified surveillance The upgrading from a passive to an active surveillance system for a specified reason and period (usually because of an outbreak). It must be noted that the system becomes more sensitive and secular trends may need to be interpreted carefully.

Laboratory surveillance Surveillance where the starting point is the identification or isolation of a particular organism in a laboratory. (e.g. surveillance of salmonellosis)

Mandatory surveillance A surveillance where participants must report to the system. Notifiable diseases are one example of a mandatory system where reporting is by law. Another may occur where for example a health authority requires all public laboratories to report specified diseases. This is usually not by law but is linked to their contractual duties.

Notifiable disease A disease that must be reported to the authorities by law or ministerial decree.

Outbreak The occurrence of two or more linked cases of an communicable disease

Passive surveillance Routine surveillance where reports are awaited and no attempt made actively seek reports from the participants in the system.

Primary care surveillance Surveillance where the starting point for a report is a new consultation for a particular disease or syndrome with a primary care physician or health worker at a clinic.

Performance indicators Specific agreed measurements of how participants are functioning within the surveillance system. These indicators may measure both the process of reporting (e.g. completeness, timeliness) action taken in response to surveillance information (e.g. % cases investigated) and the impact of surveillance and control measures on the disease or syndrome in question (e.g. % of outbreaks detected by the system, % drop in cases over a specified time period).

Periodicity The presence of a repeating pattern of excess cases. The repeater can be in years, months or weeks.

Prevalence The number of persons who have a disease at a specific time

Reporting completeness Proportion of all expected reports that were actually received (usually WHO Recommended Surveillance Standards

stated as "% completeness as of a certain date").

Reporting timeliness Proportion of all expected reports that were received by a certain due date.

Reporting system The specific process by which diseases or health events are reported. This will depend on the importance of the disease and the type of surveillance

Routine surveillance The regular systematic collection of specified data in order to monitor a disease or health event.

Sentinel surveillance The surveillance of a specified health event in only sample of the population at risk using a sample of possible reporting sites. The sample should be representative of the total population at risk.

Serosurveillance The surveillance of an infectious disease by measuring disease specific antibodies in a population or sub-population

Surveillance The systematic collection, collation and analysis of data and the dissemination of information to those who need to know in order that action may be taken.

Surveillance report A regular publication with specific information on the disease under surveillance. It should contain updates of standard tables and graphs as well as information on outbreaks etc. In addition it may contain information on the performance of participants using agreed performance indicators.

Surveillance sensitivity The ability of a surveillance system to detect an outbreak. (The proportion of all outbreaks that could have been detected by the system)

Surveillance predictive value The likelihood that an "outbreak" detected by a surveillance system is truly an outbreak

Survey An investigation in which information is systematically collected. It is usually carried out in a sample of a defined population group and in a defined time period. Unlike surveillance it is not ongoing though it may be repeated. If repeated regularly surveys can form the basis of a surveillance system.

Unusual event The occurrence of a disease or health in excess of the expectation. This expectation is either a static or dynamic threshold set by the system

Zero reporting The reporting of zero cases when no cases have been detected by the participant. This allows the next level of the system to be sure that the participant has not sent data that has been lost or has forgotten to report.

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Reporting Formats

Sl. No.	Disease	Type of Formats		
		Case based data	Aggregated Monthly Report	
			Periphery (PHC/PHU)	Intermediate (District)
1	Dengue Fever	FCB-1	FAP&D-2	FAP&D-2
2	Diphtheria	FCB-3	FAP-2	FAD-4
3	Measles		FAP-2	FAD-4
4	Pertussis		FAP-2	FAD-4
5	Japanese Encephalitis	FCB-2		FAD-3
6	Acute Viral Hepatitis			FAD-3
7	Meningococcal Disease			FAD-3
8	Rabies	FCB-4	FAP&D-3	FAP&D-3
9	Acute Lower Respiratory Infection & Pneumonia		FAP&D-1	FAP&D-1
10	Food Borne Diseases	FCB-5	FAP-1	FAD-1, FAD-2
11	Cholera	FCB - 7, FCB - 8		FAD-5
12	Bloody Dysentery			FAD-5
13	Acute Bloody Dysentery			FAD-5
14	Plague	FCB-6		
15	Cholera & Gastro Enteritis	CR - 1		